

Neural Network Approach to the Retrieval of Cloud Parameters of Inhomogeneous Clouds

By

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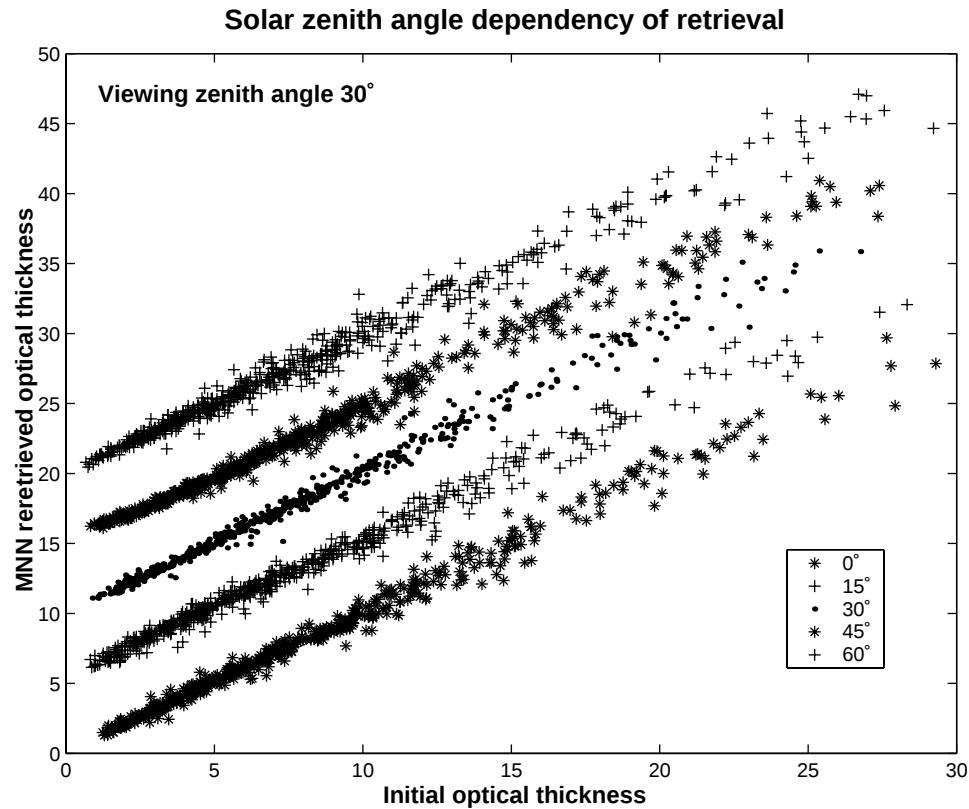
Results of work (before 2001) :

Feasibility of the cloud parameter retrieval of inhomogeneous clouds

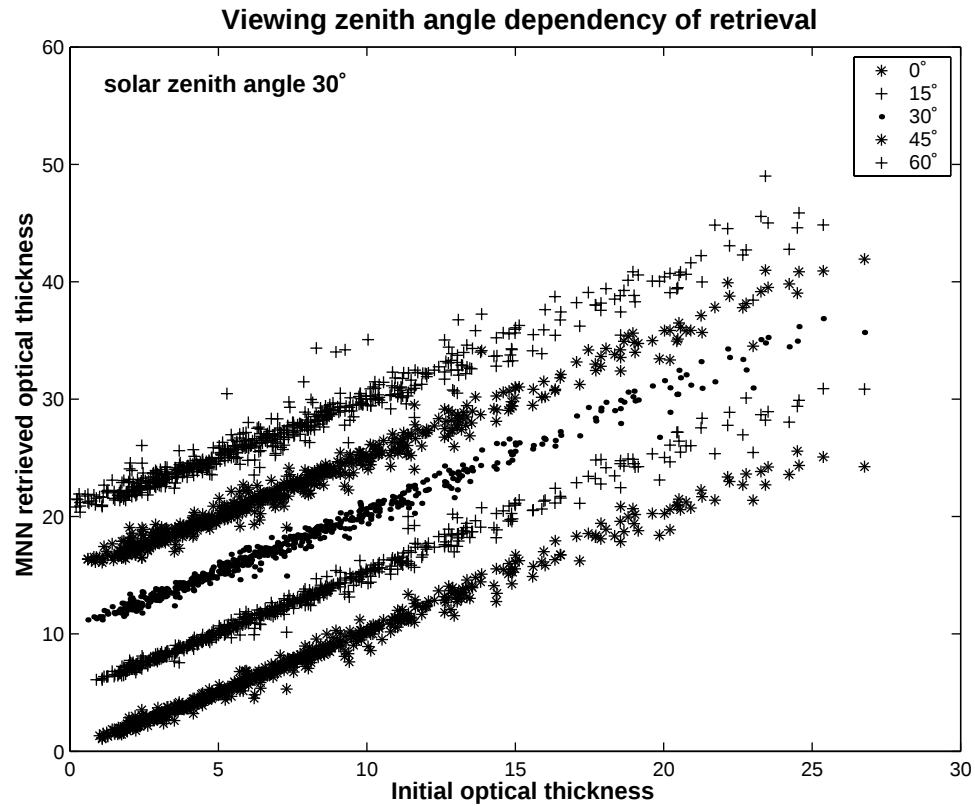
- **Cloud parameters retrieval to retrieve**
 - 1 - mean optical thickness (τ)
 - 2 - effective radius (ref)
 - 3 - cloud inhomogeneity at sub-GLI pixel (standard deviation of τ)
 - 4 - fractional cloud cover at sub-GLI pixel
- **Input data (prepared with SHDOM without atmosphere)**
 - ch08, ch28, ch30, and ch35 radiance data of target pixel (1km pixel)
 - standard deviations of radiance (ch08, ch28, ch30) estimated from 0.25km pixel radiance at 1km pixel
 - the same data from neighbor pixels (if necessary)

* assumed that all necessary corrections are already done to radiance data.

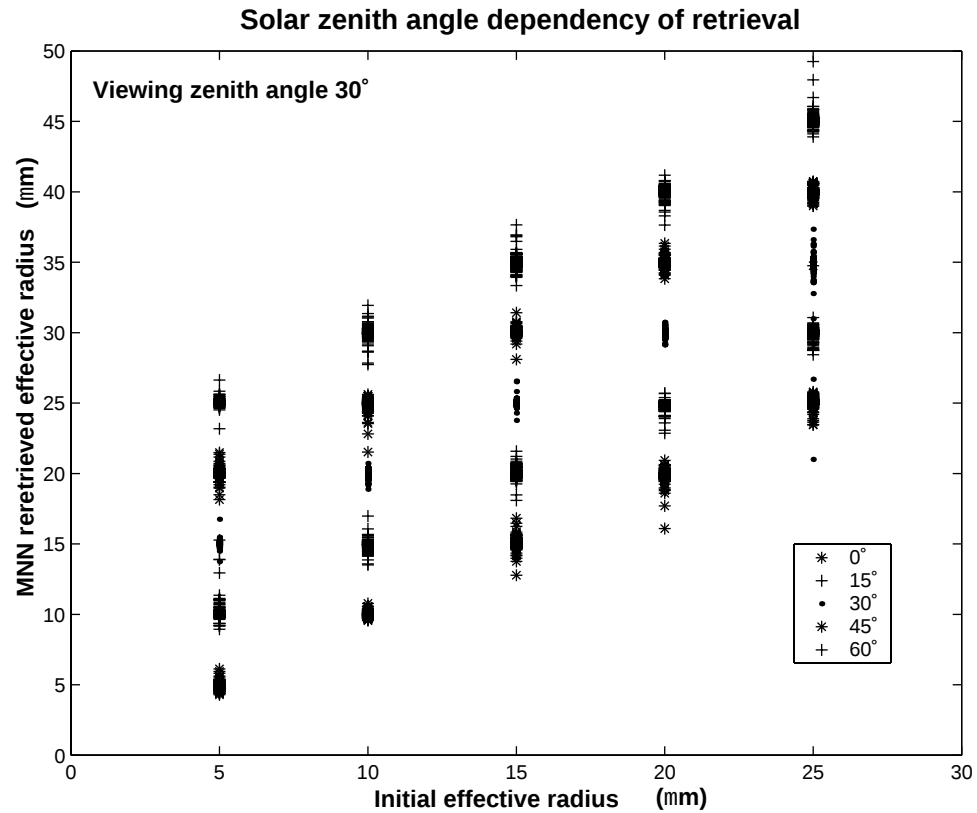
Neural network retrieval (bounded cascade clouds) : mean optical thickness (1)



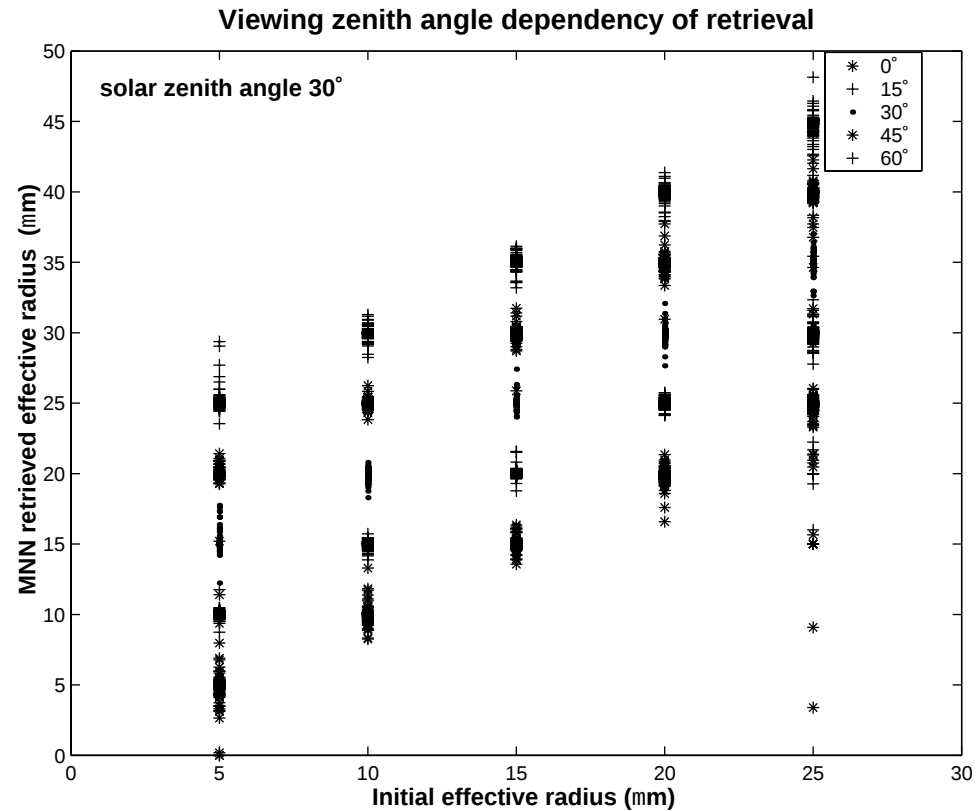
Neural network retrieval (bounded cascade clouds) : mean optical thickness (2)



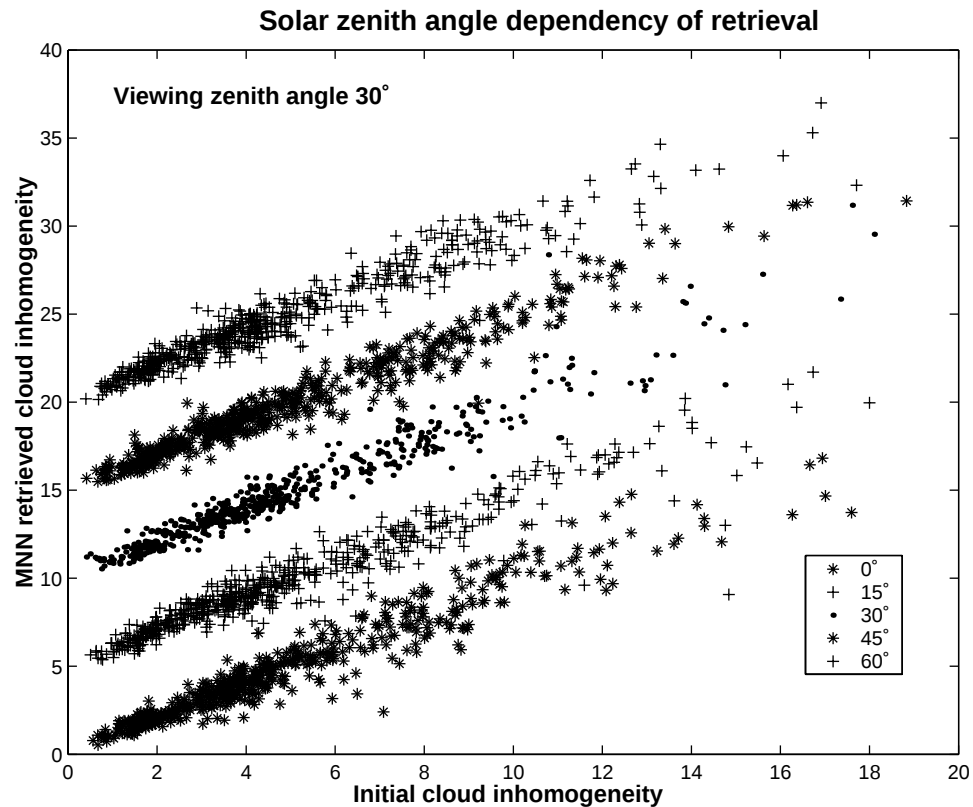
Neural network retrieval (bounded cascade clouds) : (mean) effective radius (1)



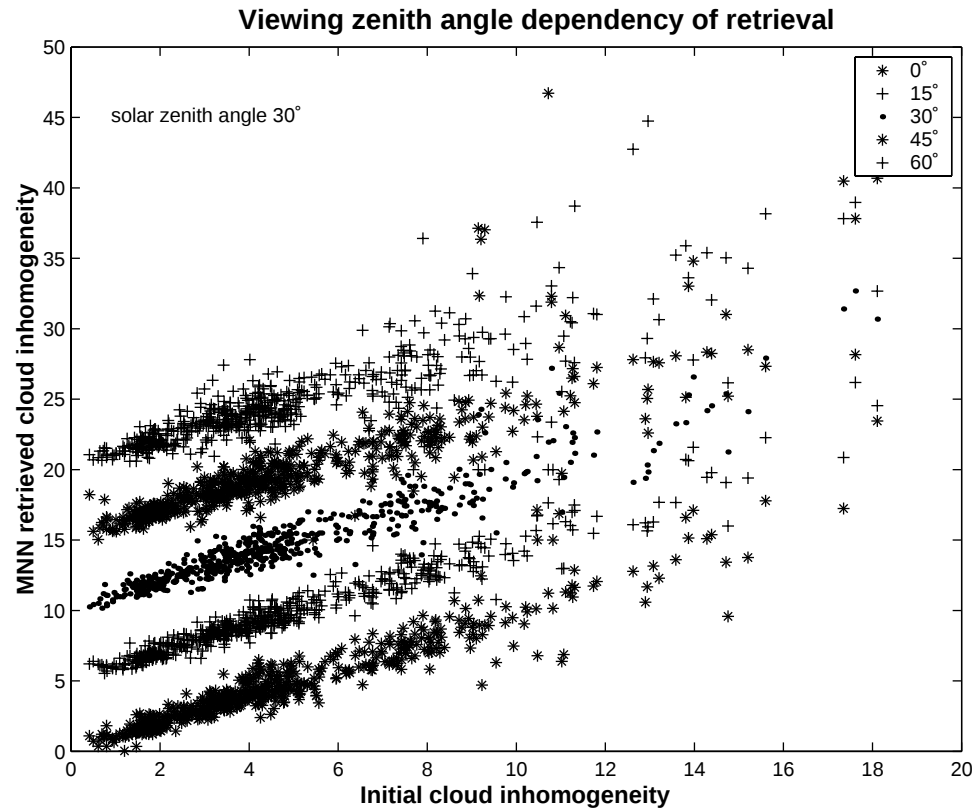
Neural network retrieval (bounded cascade clouds) : (mean) effective radius (2)



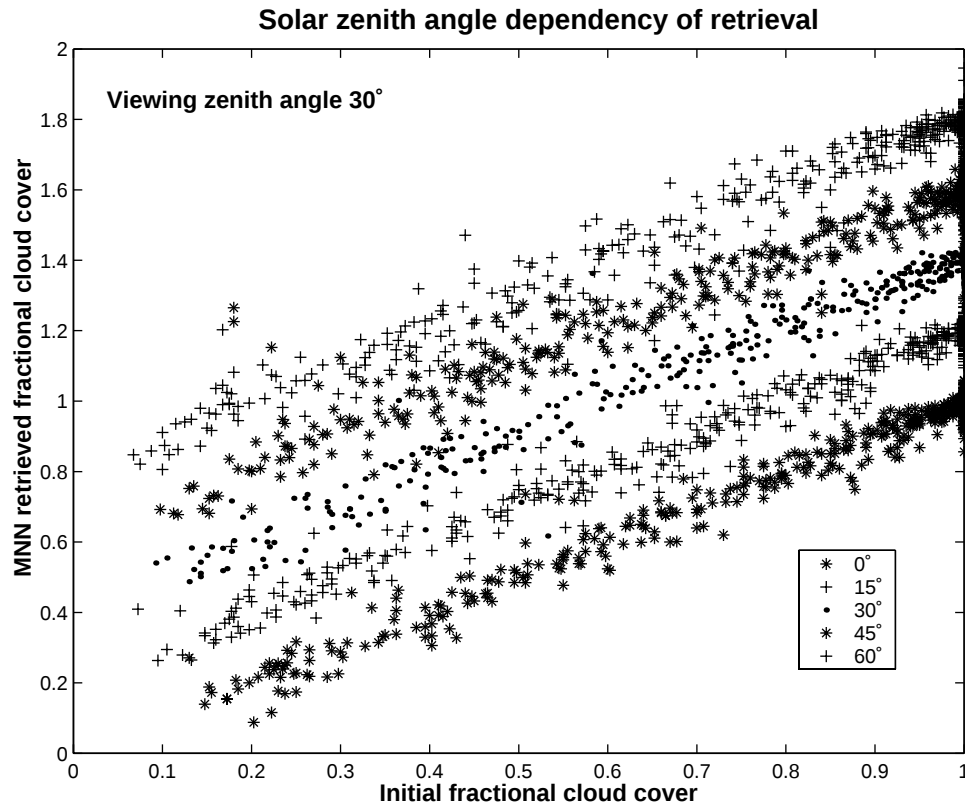
Neural network retrieval (bounded cascade clouds) : cloud inhomogeneity (1)



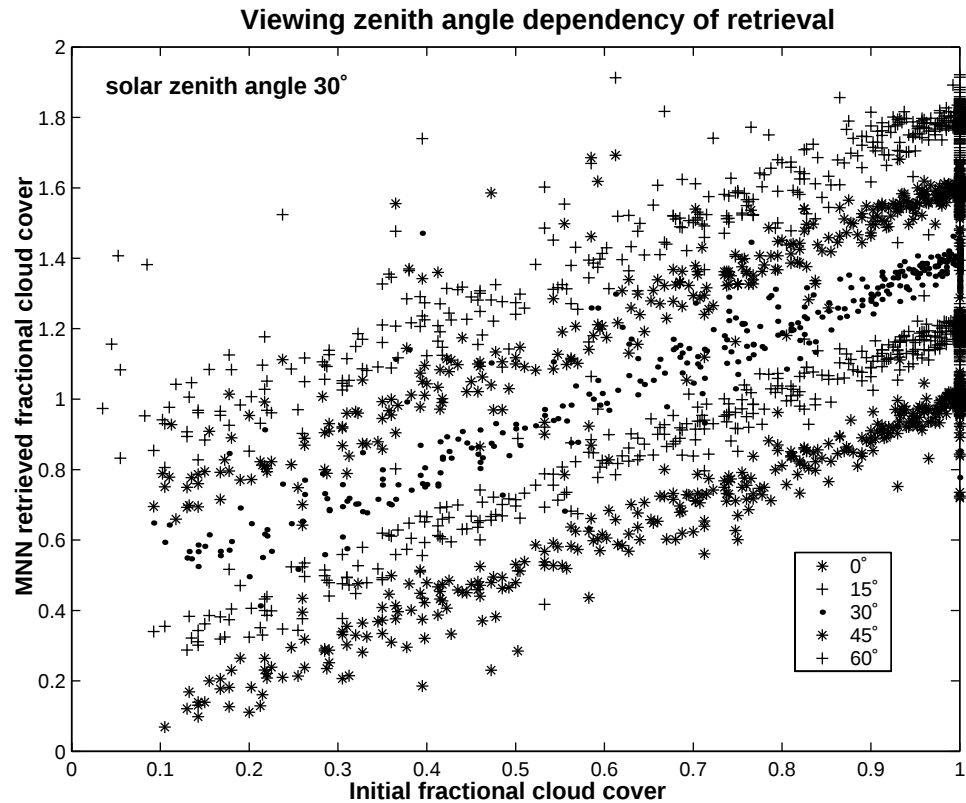
Neural network retrieval (bounded cascade clouds) : cloud inhomogeneity (2)



Neural network retrieval (bounded cascade clouds) : fractional cloud cover (1)



Neural network retrieval (bounded cascade clouds) : fractional cloud cover (2)



Necessary corrections to radiance data

- **Interpolation of observed radiance values**
 - GLI sun-obs configuration .NE. NN sun-obs configuration
 - Interpolation to the nearest NN sun-observation configuration
- **Correction of albedo-effect in radiance**
 - NNs are trained with radiance data computed for totally absorbing underlying earth surface
- **Correction of emission-effect in 3.7 μm radiance**
 - NNs are trained with 3.7 μm radiance data computed without emission (earth surface, cloud, atmosphere)
- **Correction of atmospheric-effect in radiance (eventually)**
 - The need of this correction is not yet studied.

Plane-parallel clouds

- radiance simulated with shdom (without atm.) and rstar 5b

- **Characteristics of simulation**

- SHDOM: (step=7.5°)

- cloud types: 1. constant effective radius; 2. linearly variable effective radius

- cloud base height: 0.5 km; cloud geometrical depth: 0.3 km;

- cloud optical thickness: 0.1, 0.5, 1, 2, 5, 7, 10, 15, 20, 25, 30, 40, 50, 70

- effective radius: 1, 3, 5, 7, 10, 12, 15, 17, 20, 25, 30, 40 μ m

- rstar5b (step=7.5° and 15°)

- cloud types: 1. constant effective radius; 2. linearly variable effective radius

- cloud base height: 0.5, 0.8, 1.2, 1.8, 2.5 km;

- cloud geometrical depth: 0.3, 0.5, 0.8, 1.2, 1.8km;

- cloud optical thickness: 2, 4, 7, 10, 14, 19, 25, 32, 42, 55

- effective radius: 2, 4, 7, 10, 14, 18, 23, 28, 35, 42 μ m

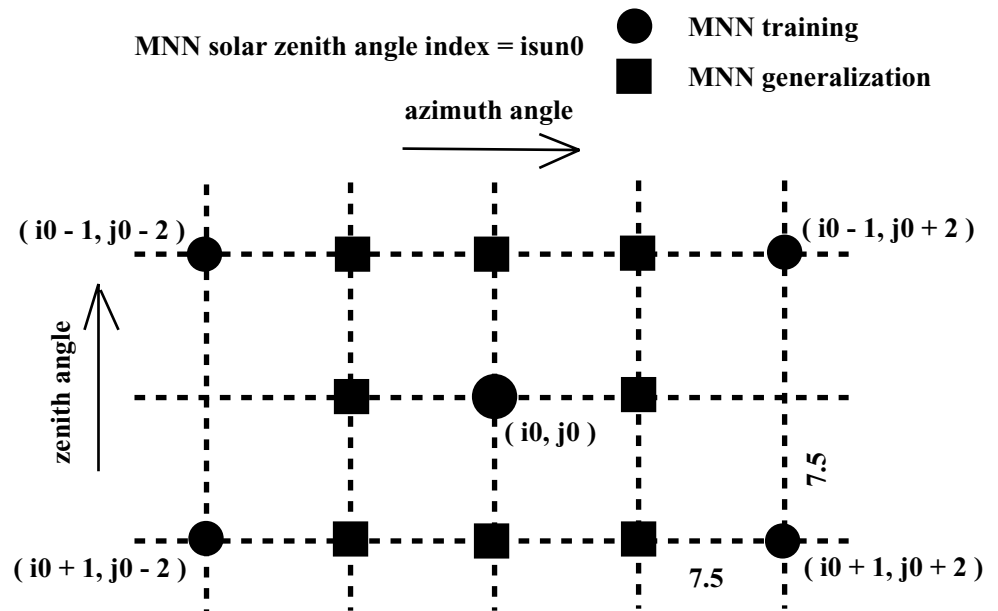
- surface albedo (Lambertian): 0.05, 0.10, 0.15, 0.20, 0.25, 0.30

- surface temperature: 8, 13, 18, 23, 28, 33, 38°C

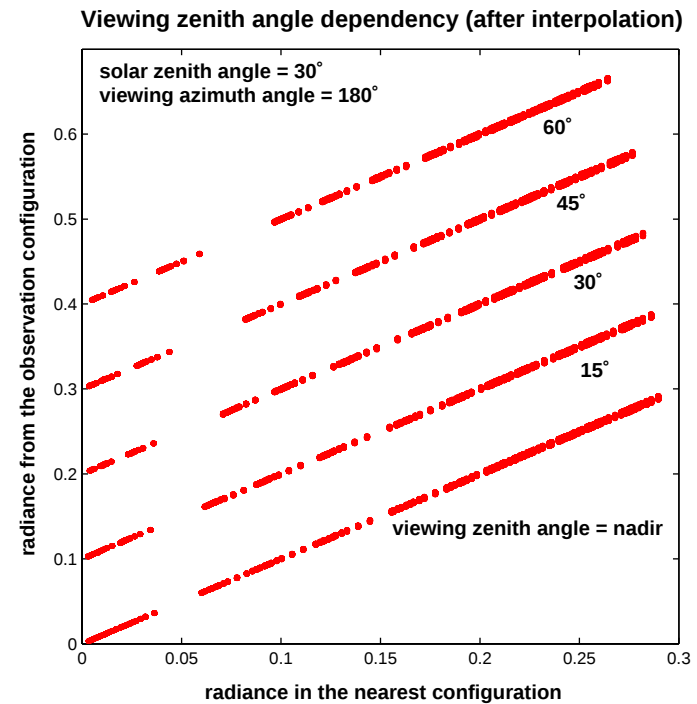
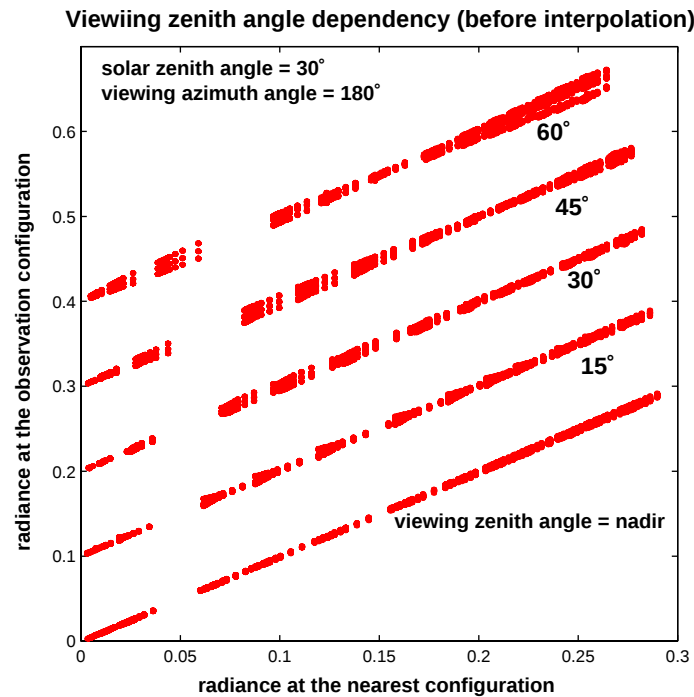
Interpolation of radiance values (SHDOM):

from $R_{\text{ch},i}(\theta_{\text{s.obs}}, \theta_{\text{v.obs}}, \phi_{\text{v.obs}})$ to $R_{\text{ch},i}(\theta_{\text{s.MNN}}, \theta_{\text{v.MNN}}, \phi_{\text{v.MNN}})$

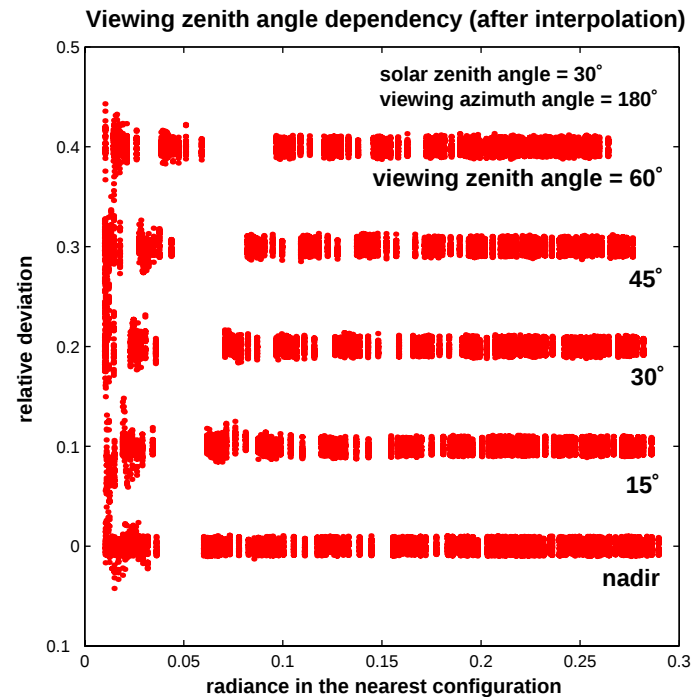
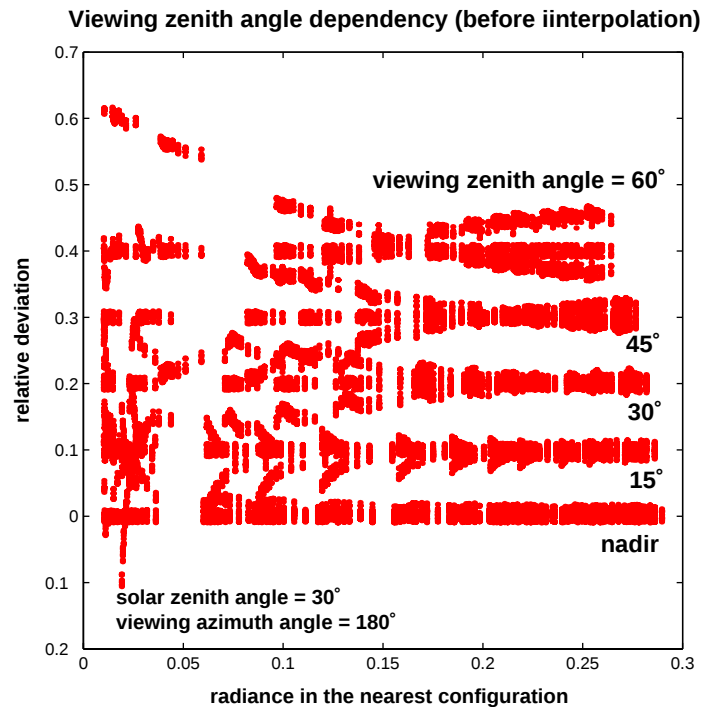
- **Target parameter:**
 - Radiance of a given wavelength at the nearest MNN config.
- **Input parameters:**
 - 3 Angular distances to the MNN configuration
 - radiances (0.67, 1.6, 2.2, 3.7 μm)
- **Training data patterns**
 - 3 solar zenith angles
 - 5 neighbor configurations
- **Generalization patterns**
 - 3 solar zenith angles
 - 9 neighbor configurations with all pairs of τ and ref



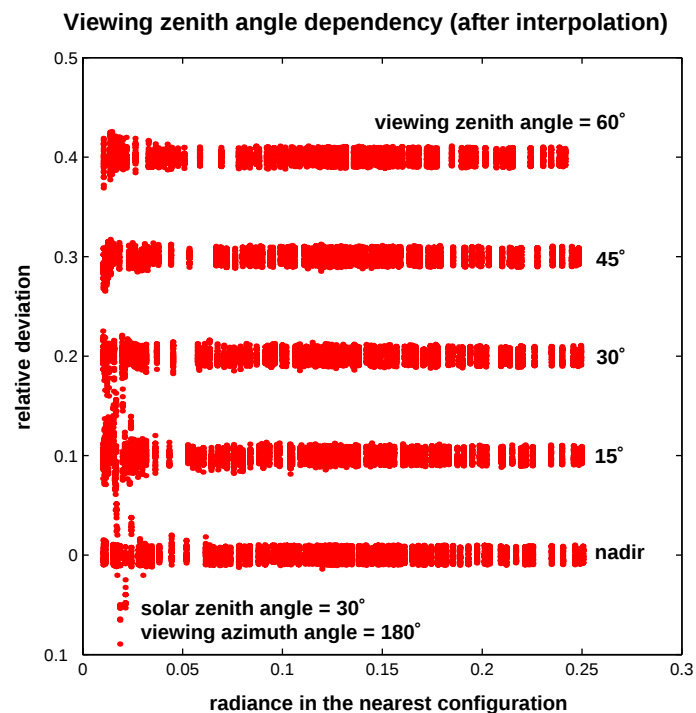
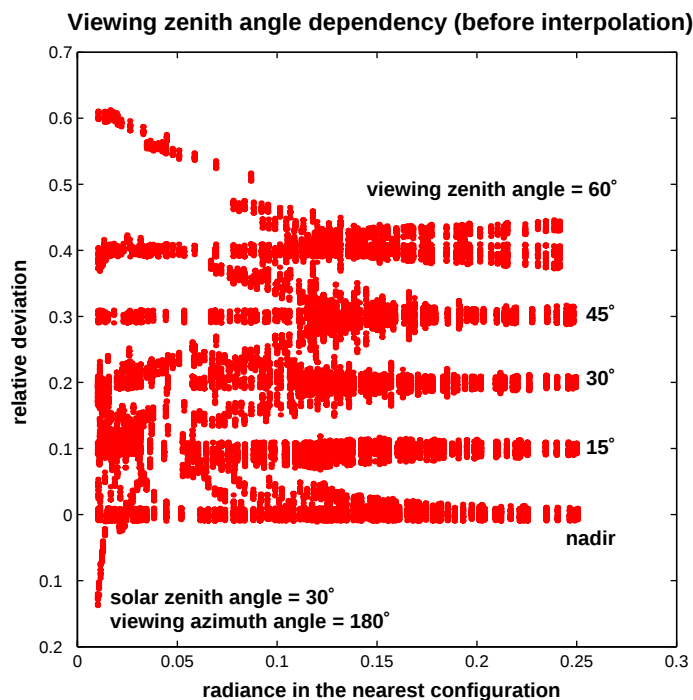
Interpolation of radiance values (SHDOM): Generalization ($0.67\text{ }\mu\text{m}$)



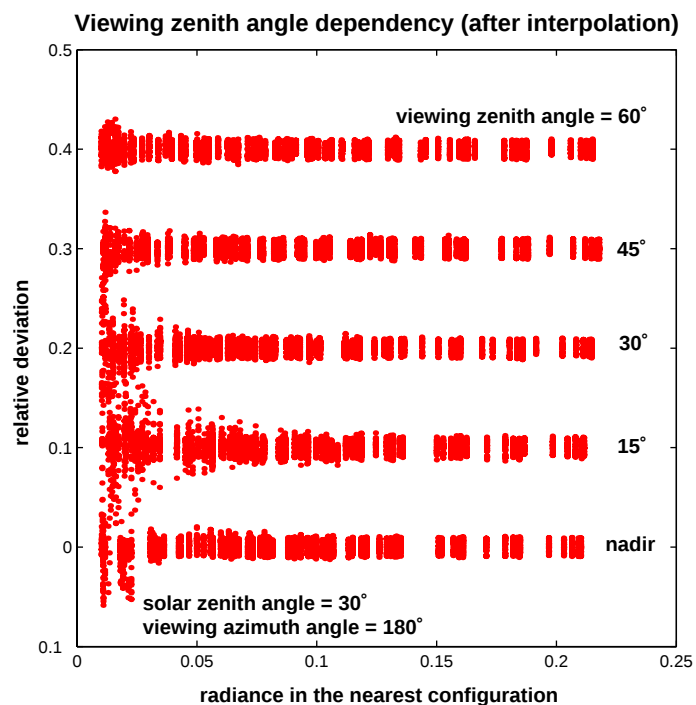
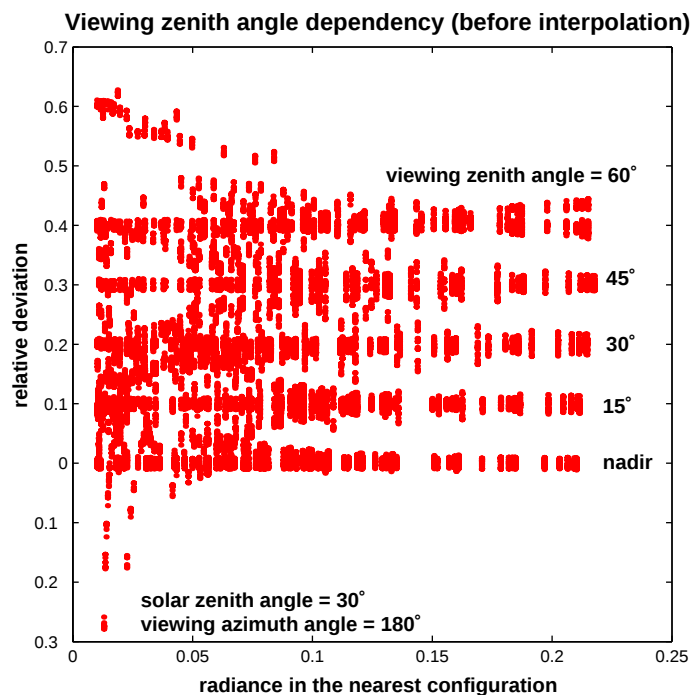
Interpolation of radiance values (SHDOM): Generalization ($0.67\text{ }\mu\text{m}$)



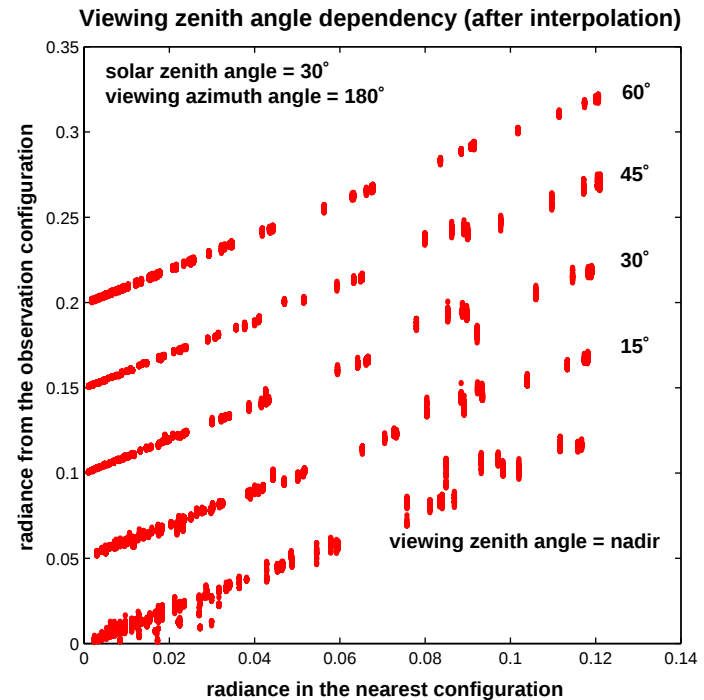
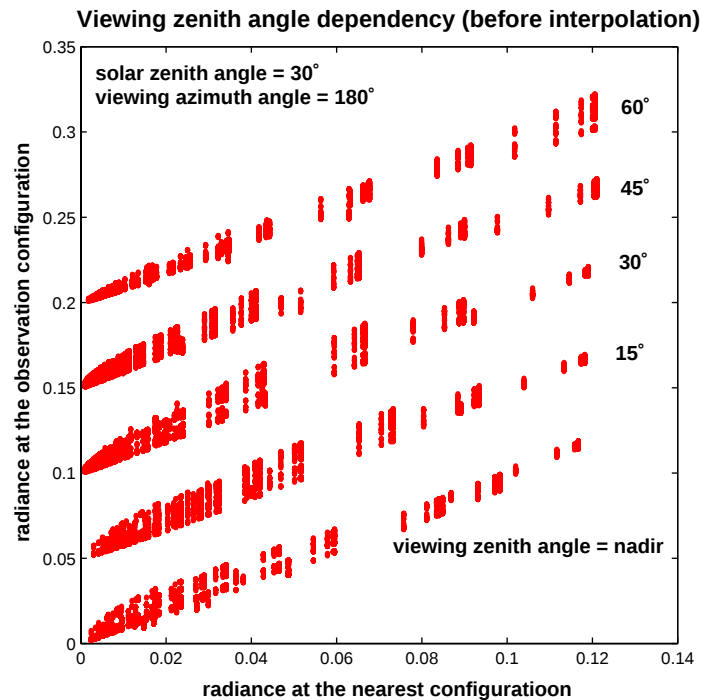
Interpolation of radiance values (SHDOM): Generalization (1.6 μm)



Interpolation of radiance values (SHDOM): Generalization (2.2 μm)



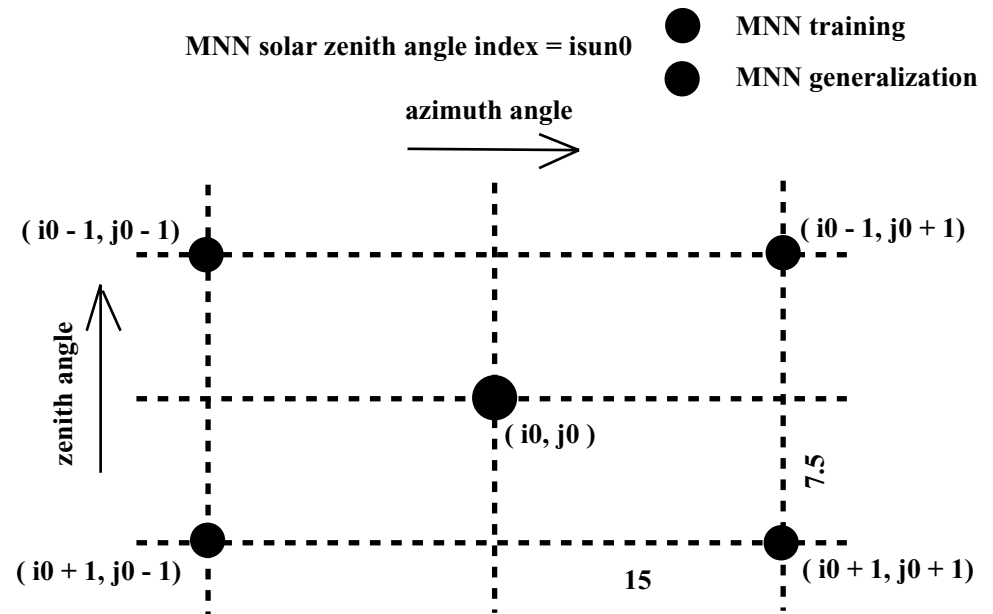
Interpolation of radiance values (SHDOM): Generalization ($3.7\text{ }\mu\text{m}$)



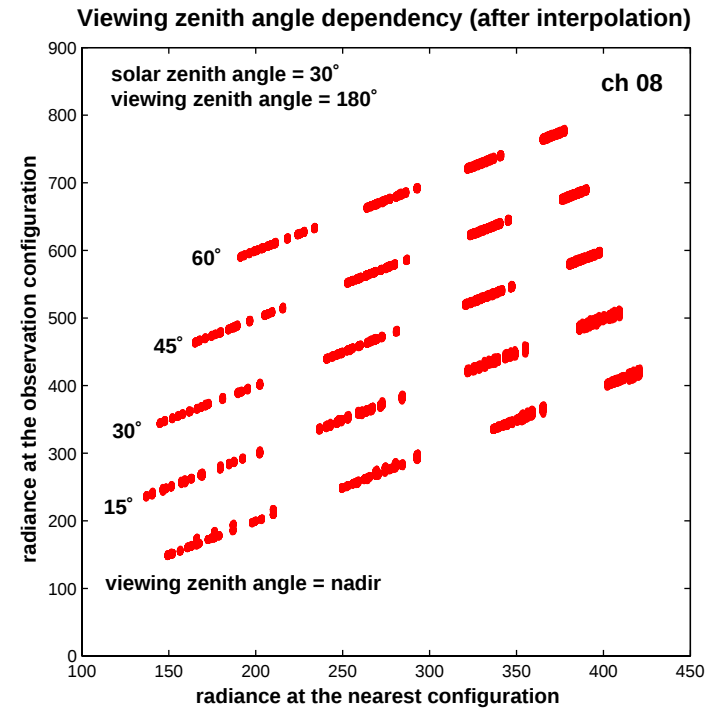
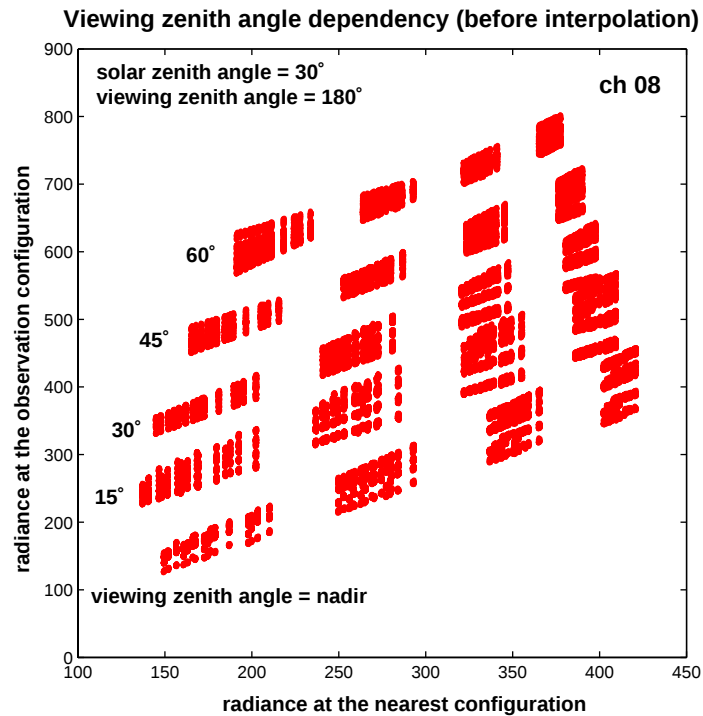
Interpolation of radiance values (rstar5b):

from $R_{\text{ch},i}(\theta_{\text{s.obs}}, \theta_{\text{v.obs}}, \phi_{\text{v.obs}})$ to $R_{\text{ch},i}(\theta_{\text{s.MNN}}, \theta_{\text{v.MNN}}, \phi_{\text{v.MNN}})$

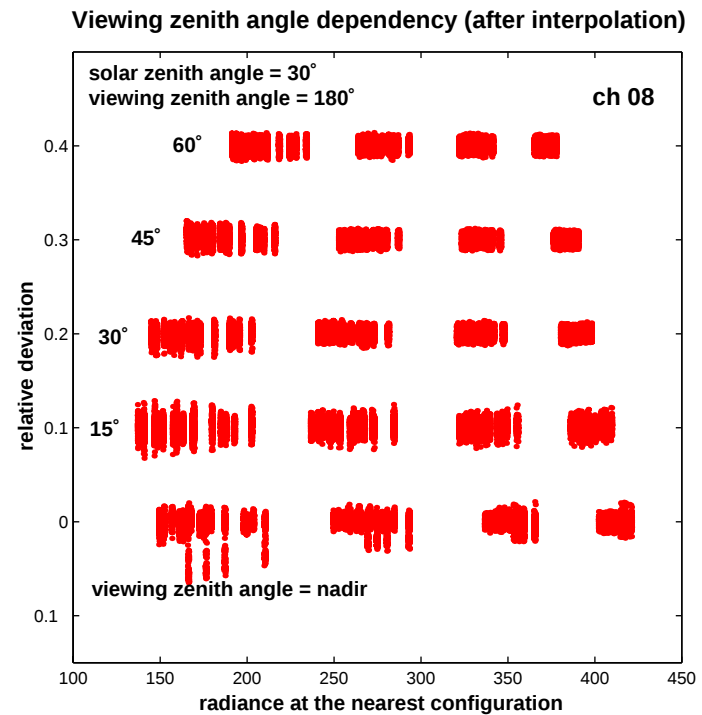
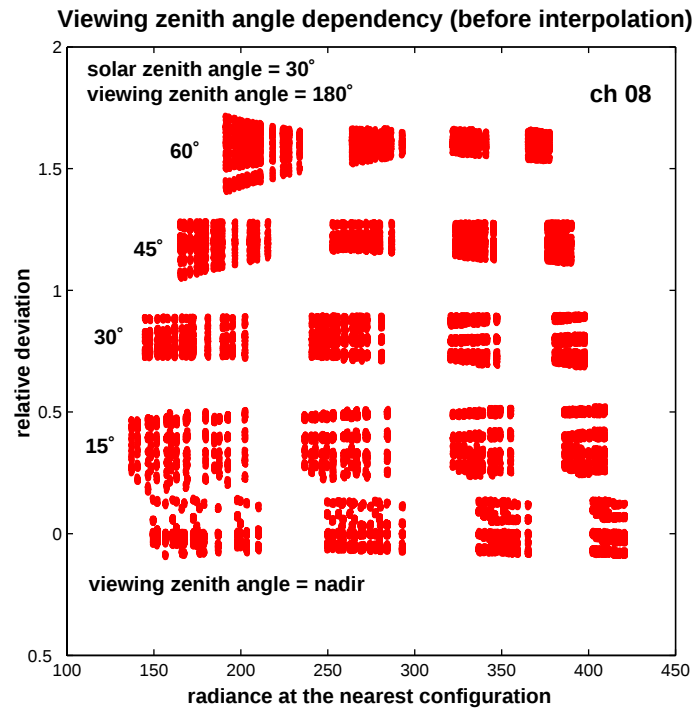
- **Target parameter:**
 - Radiance of a given wavelength at the nearest MNN config.
- **Input parameters:**
 - 3 Angular distances to the MNN configuration
 - radiances (7 wavelengths)
- **Training data patterns**
 - 3 solar zenith angles
 - 5 neighbor configurations
- **Generalization patterns**
 - 3 solar zenith angles
 - 5 neighbor configurations with different τ and ref



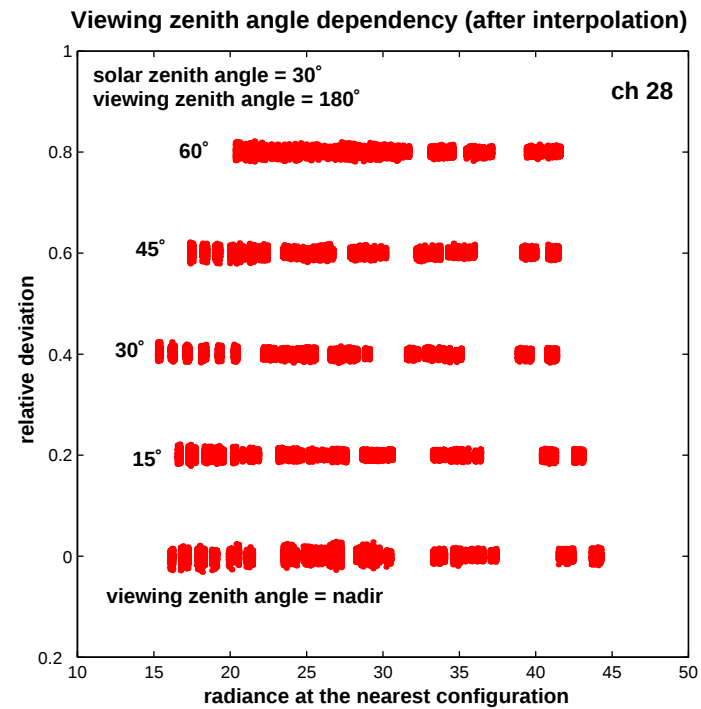
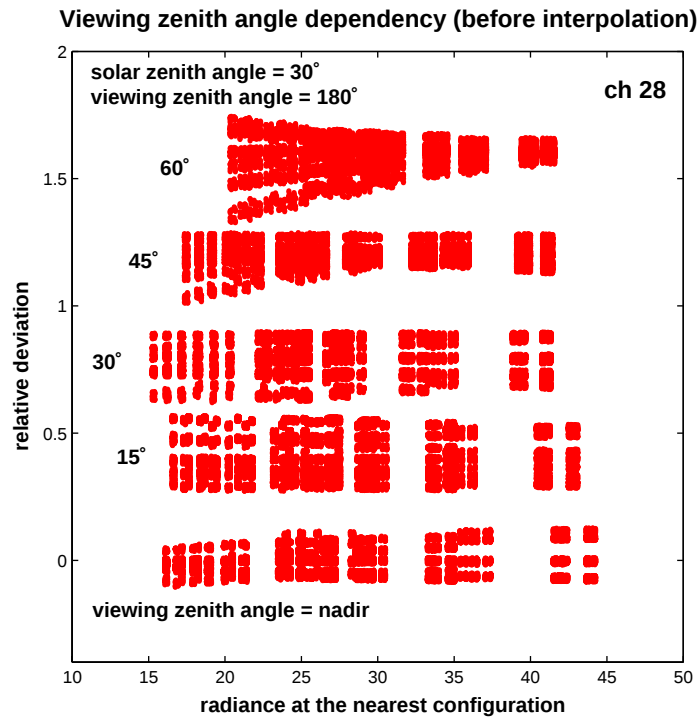
Interpolation of radiance values (rstar5b): Generalization (ch 08)



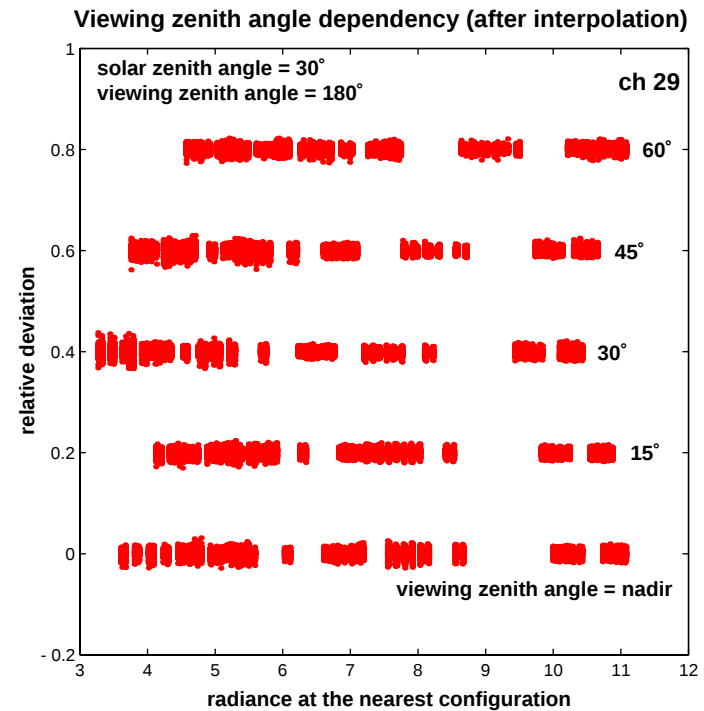
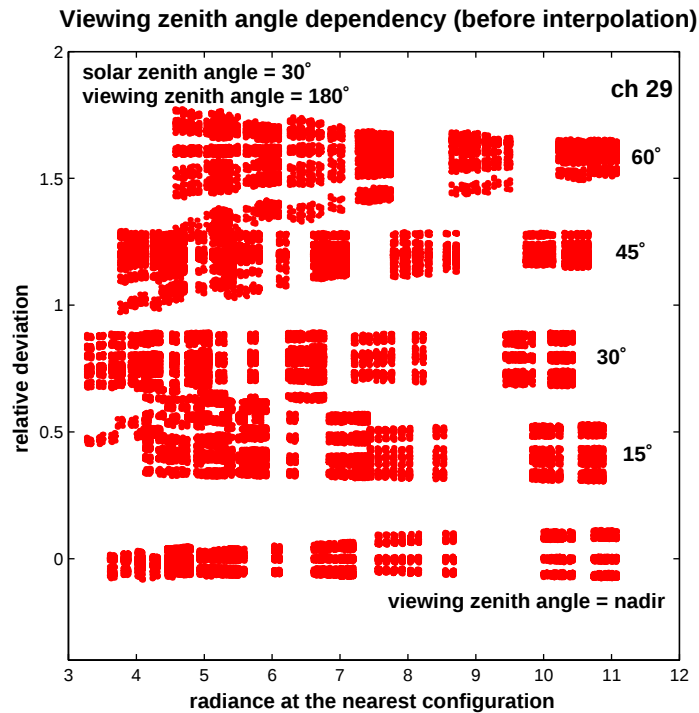
Interpolation of radiance values (rstar5b): Generalization (ch 08)



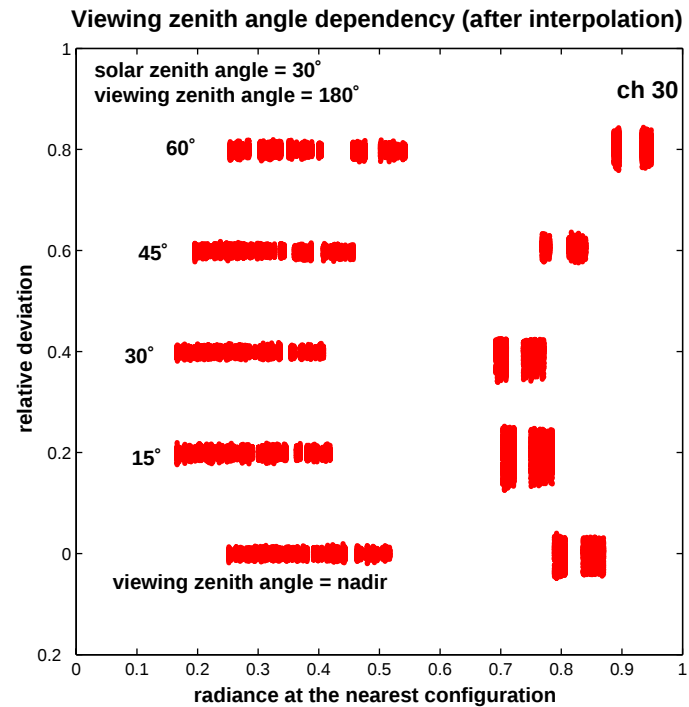
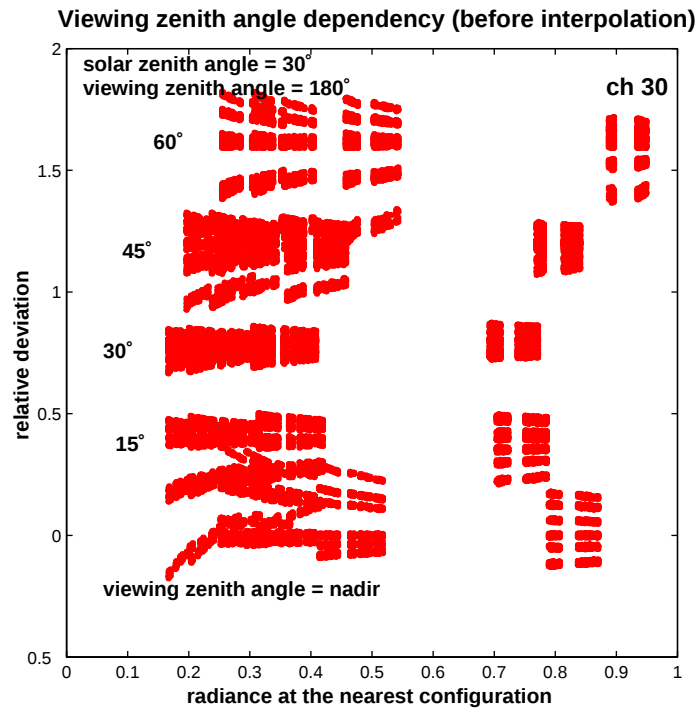
Interpolation of radiance values (rstar5b): Generalization (ch 28)



Interpolation of radiance values (rstar5b): Generalization (ch 29)

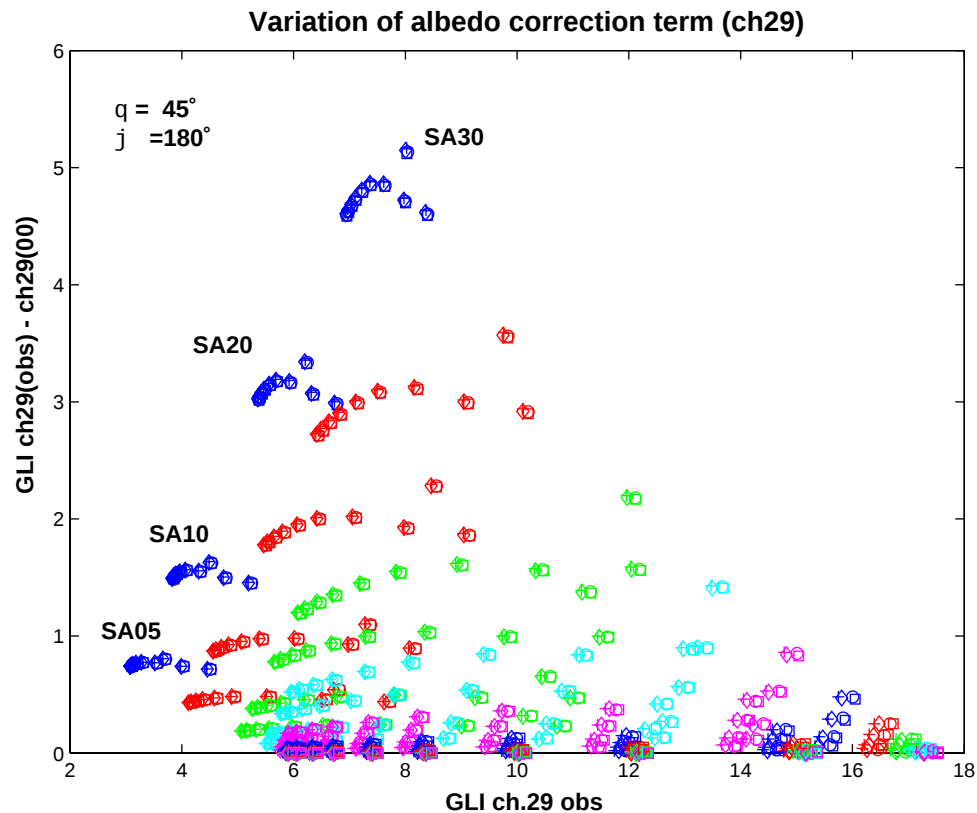


Interpolation of radiance values (rstar5b): Generalization (ch 30)



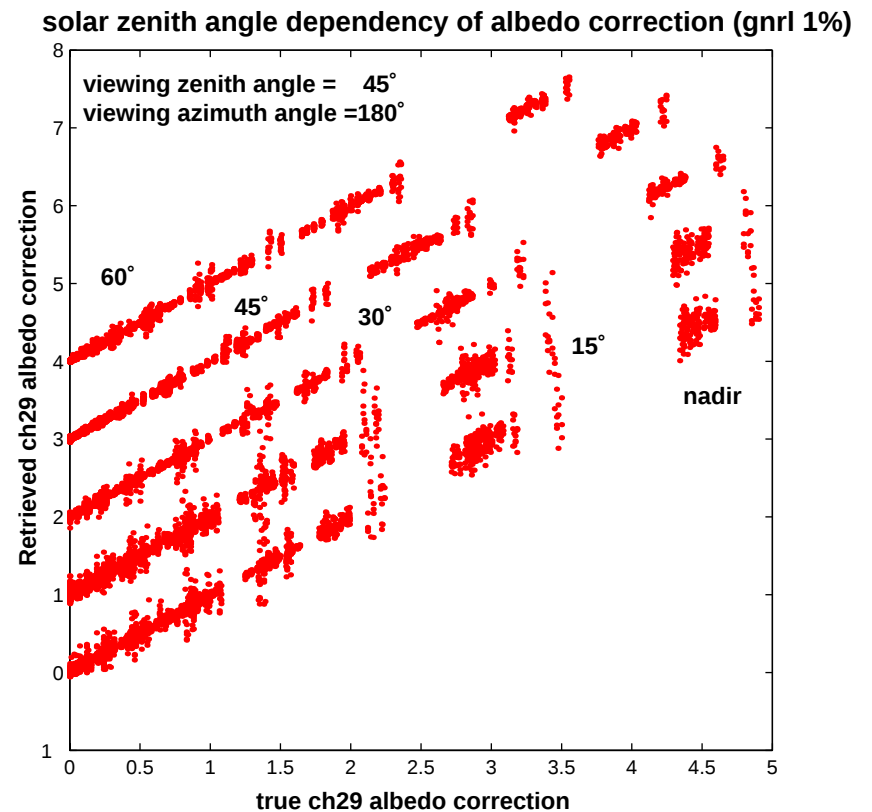
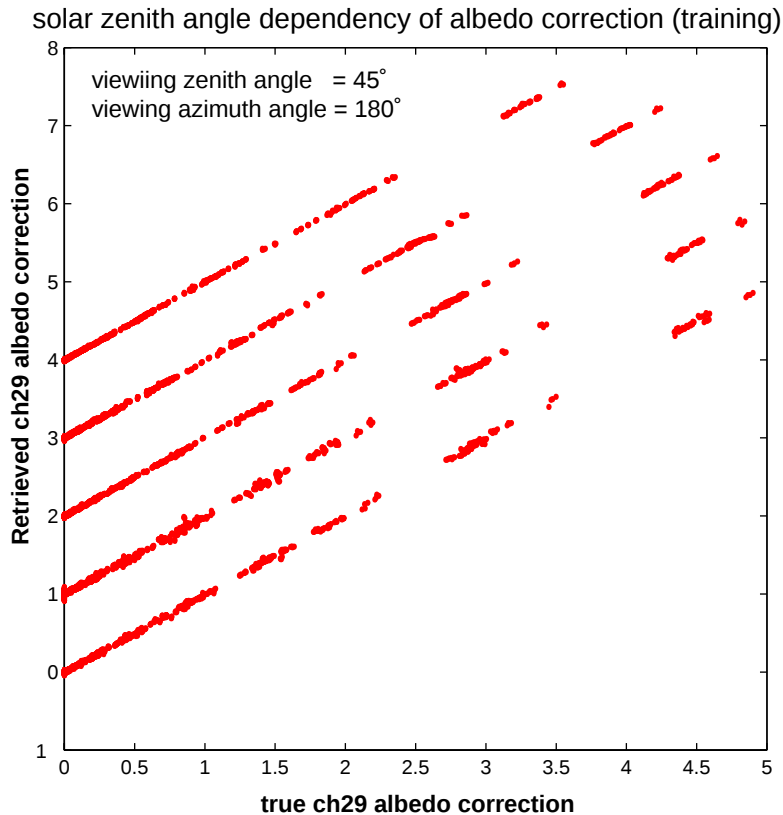
Variation of ch29 albedo correction as a function of ch29 radiance (nadir)

variable parameters: optical thickness, effective radius, cloud geometry, surface albedo



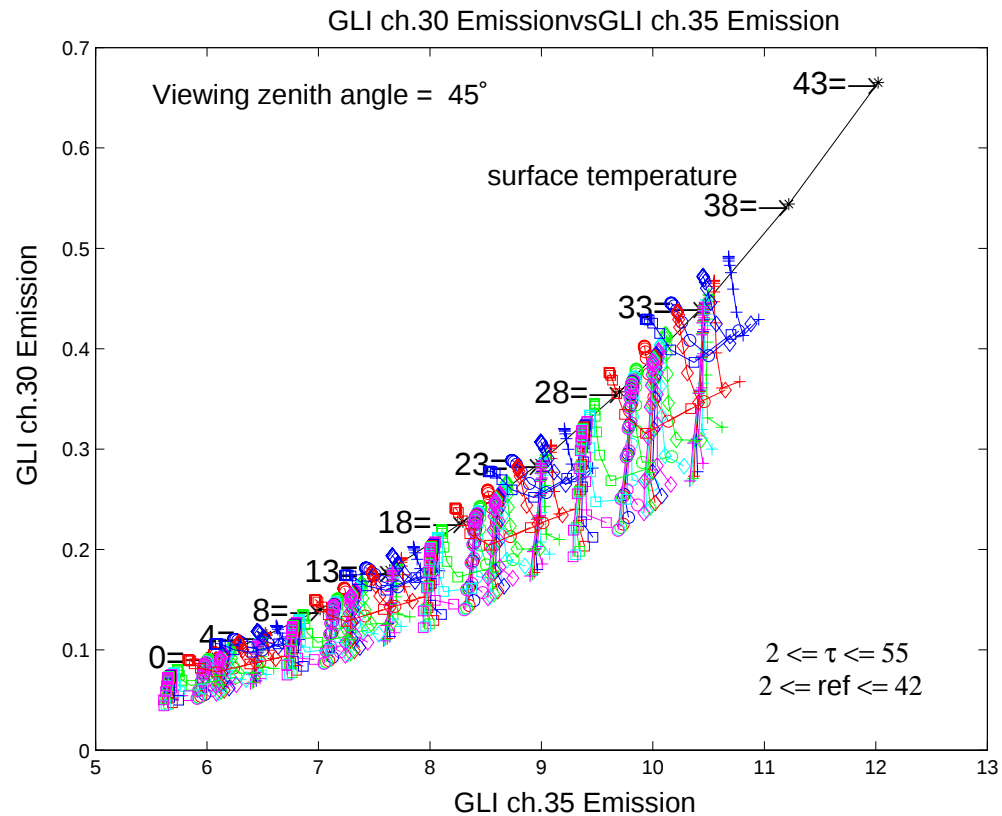
Albedo correction of ch29 radiance:

- Performance of NN for the estimation of albedo correction
- input vector components: ch08, ch013, ch28, ch29 radiance + surface albedo



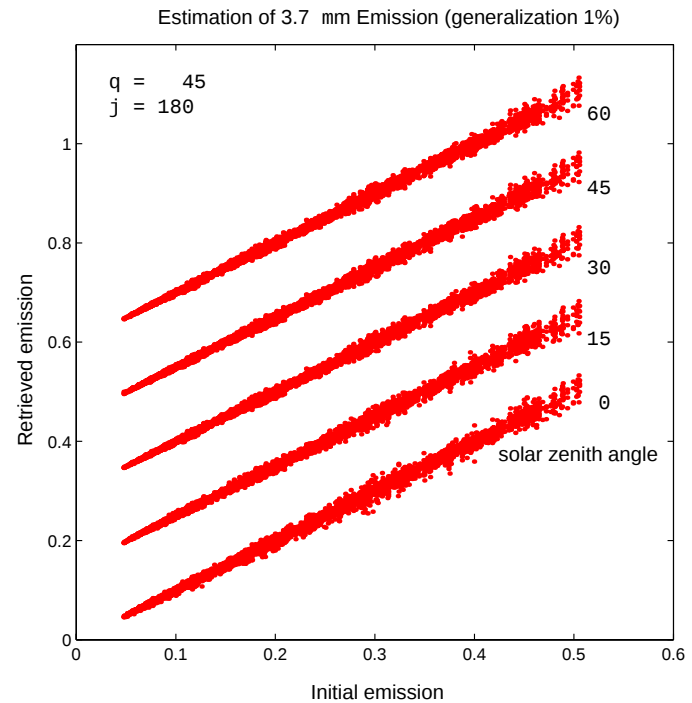
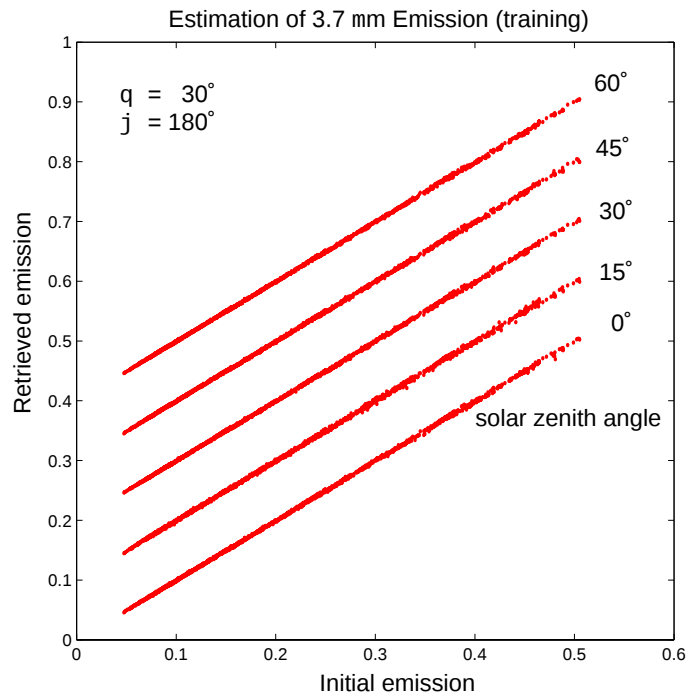
Variation of ch30 emission as a function of ch35 emission

variable parameters: optical thickness, effective radius, cloud geometry, surface temperature



Correction of 3.7 μm emission:

- Performance of NN for the estimation of ch30 emission
- input vector components: ch08, ch013, ch28, ch29, ch35 radiance + surface temperature



Bounded cascade clouds with fractional cloud cover

- radiance simulated with Evan's shdom code

- **Characteristics of simulation**

- **Clouds without atmosphere (ch13, ch28, ch29, ch30; step=7.5°)**

- 256x256 pixels of 50x50m cloud column

- cloud types: constant effective radius

- cloud base height: 0.5 km; cloud geometrical depth: 0.3 km;

- domain mean cloud optical thickness: 5, 10

- effective radius: 5, 10, 15, 20, 25, 30, 40μm

- fractional cloud cover: 0.5, 0.7, 1.0

- **Clouds with atmosphere (tested for ch30 and ch 35; step=7.5°)**

- test simulation (64x64 pixels of 50x50m cloud column) for variable cloud geometrical characteristics

- systematic simulation not started

Adaptation of SHDOM code

- Integration of rstar5b atmosphere into SHDOM code to make rstar5b and SHDOM compatible in near and thermal infrared
- Compared with rstar5b for plane-parallel cloud case
- Comparing with Mayer's Monte Carlo code for inhomogeneous cloud case

3.7 μm	SHDOM	rstar5b (250m)		rstar5b (25m)	
Upward flux at Top of atmosph.	0.1246 W/m²	0.1434 W/m²	-13.1 %	0.1263 W/m²	-1.36 %
Downward flux Surface	0.2882 W/m²	0.3166 W/m²	-8.97 %	0.2873 W/m²	0.31 %
11.0 μm					
Upward flux Top of atmosph.	13.03 W/m²	13.66 W/m²	-4.63 %	13.12 W/m²	-0.70 %
Downward flux Surface	15.32 W/m²	16.69 W/m²	- 8.18 %	15.26 W/m²	0.42 %

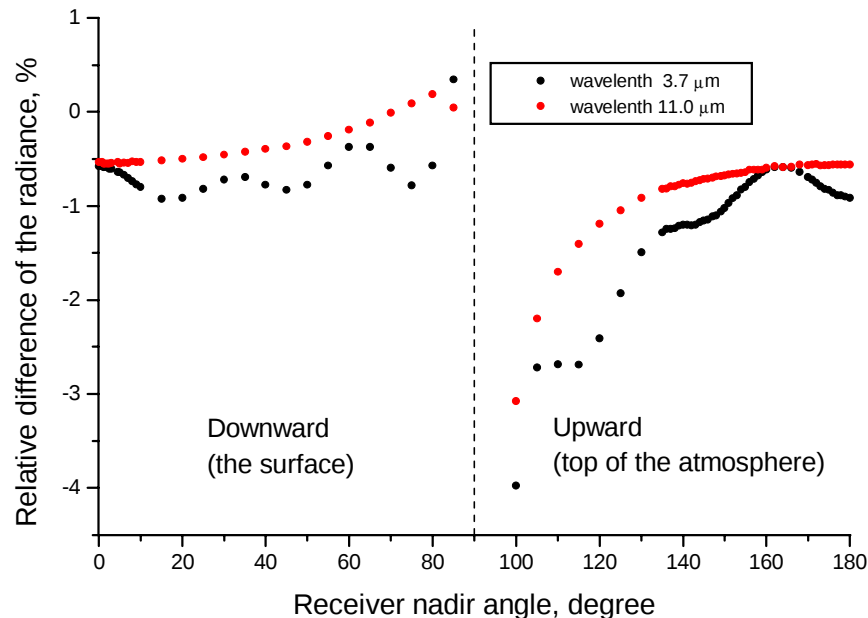
Relative difference of SHDOM and rstar5b radiances (25 m resolution):

$$\delta R(\theta) = [(R_{\text{SHDOM}}(\theta) - R_{\text{Nakajima}}(\theta)) / R_{\text{Nakajima}}(\theta)] * 100 \%$$

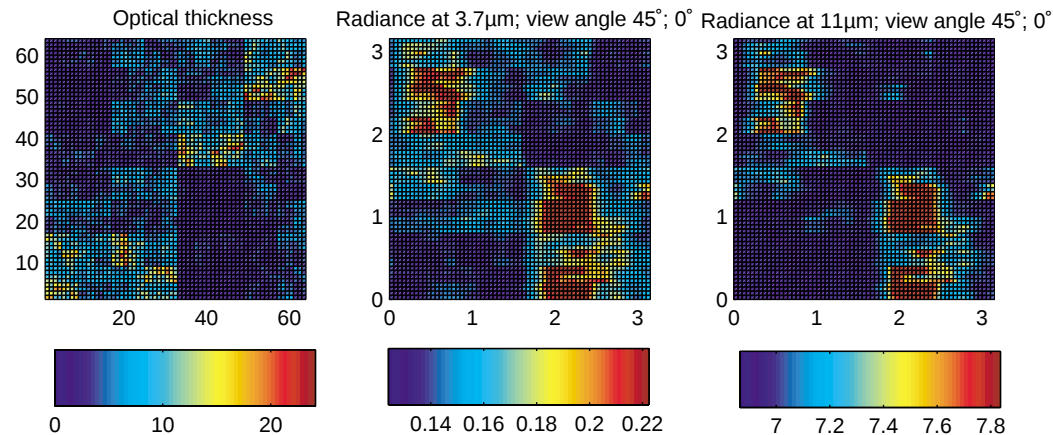
US standard atmosphere; Cloud from 5 km up to 5.5 km altitude; Surface albedo = 0.0

Optical thickness 10 at the corresponding wavelength

Effective radius 10 μm with a lognormal size distribution $\sigma = 0.35$



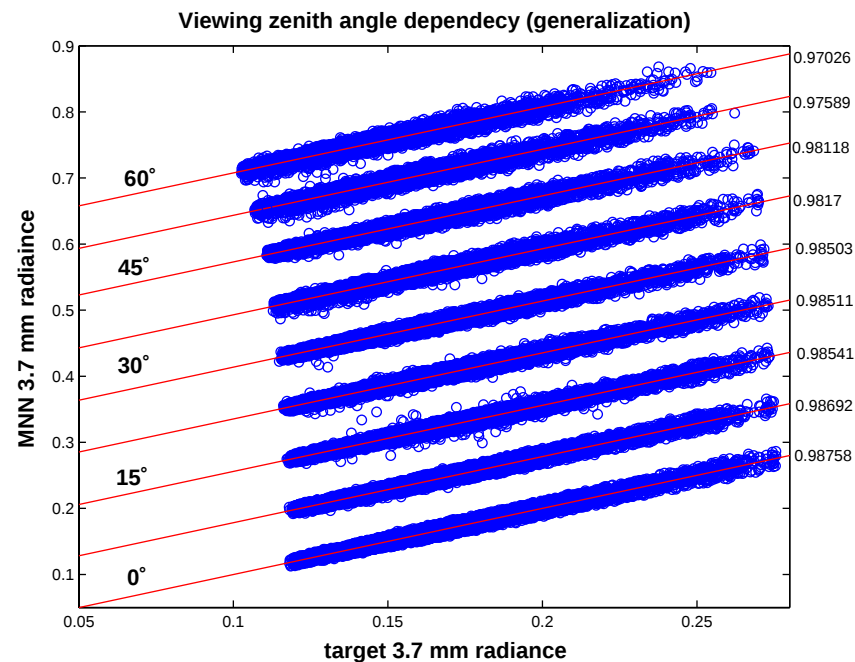
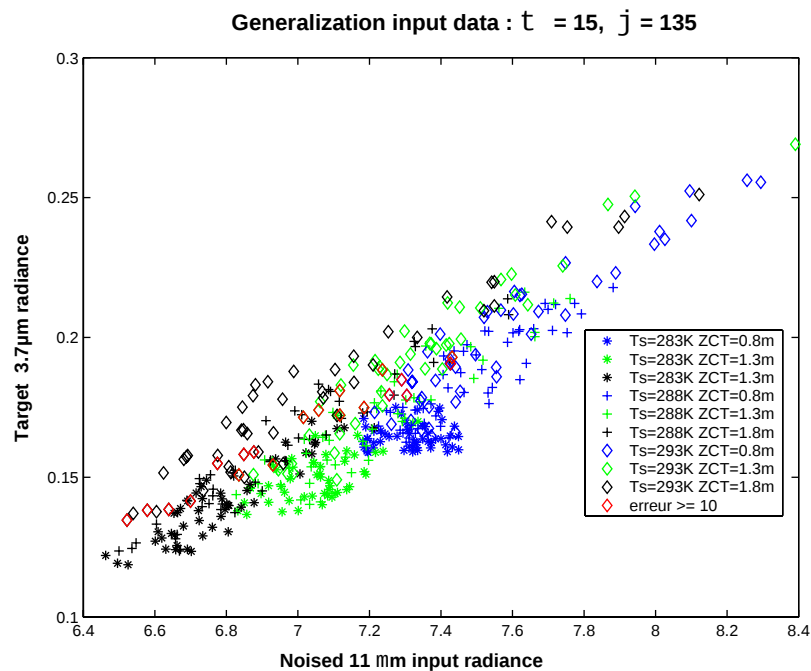
Night time 3.7 μm and 11 μm radiance (bounded cascade clouds) (64 x 64 pixels)



mean optical thickness:5; fractionnal coverage: 0.2; effective radius: 10 μm
surface temperature: 288 K; cloud top1.30 km; cloud thickness 0.3 km

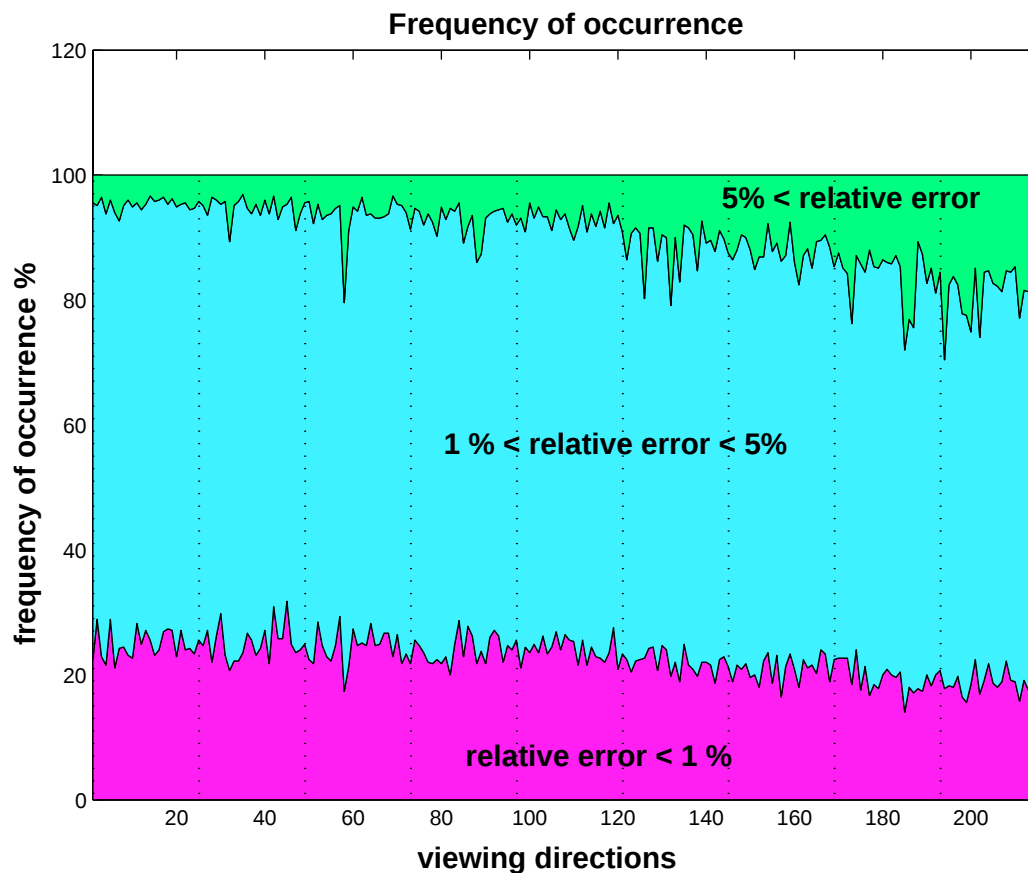
Neural network estimation of 3.7 μm emission correction

- Input parameters: 11 μm radiance, surface temperature, std of τ , and fractional cloud cover

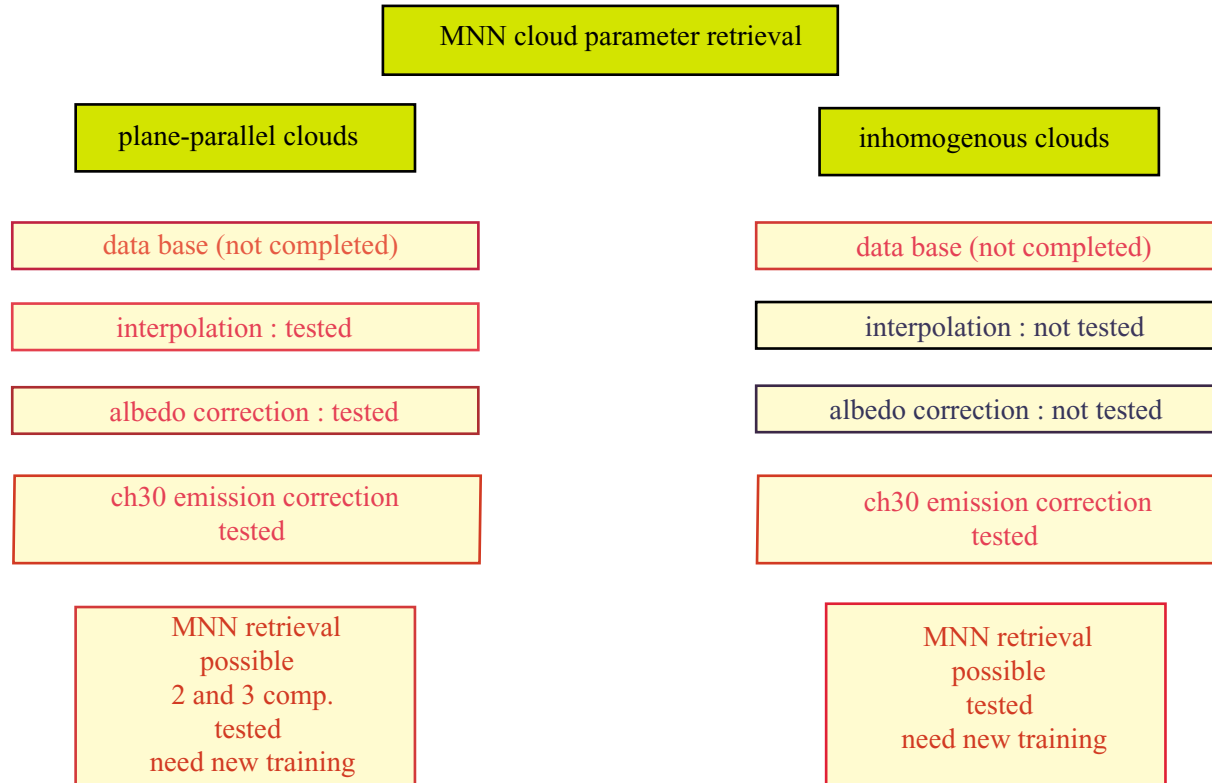


Relative error distribution of MNN 3.7 μm emission correction

- Input parameters: 11 μm radiance, surface temperature,
std of τ , and fractional cloud cover



Actual State of Development



Work to be done (plane-parallel cloud case)

- **Complete radiance data base (rstar5b simulation)**
- **Refinement of neural networks (change statistical representativity)**
 - radiance interpolation
 - albedo-effect correction
 - 3.7 μ m emission correction
- **Training of neural networks**
 - cloud parameter retrieval of plane-parallel clouds (rstar5b radiance data)
- **Definition of cloud model selection criteria**
- **Organization of neural network retrieval of cloud parameters for plane-parallel cloud case**
- **Evaluation of neural network retrieval with real data**

Work to be done (bounded cascade cloud case)

- **Complete radiance data base (shdom and modified shdom)**
 - The situation will be slightly improved with the arrival of a new DEC alpha work station (8 Gbytes RAMs and 4 processors)
- **Test of neural networks**
 - radiance interpolation (not tested, but seems possible)
 - albedo-effect correction (not tested, but seem possible)
 - $3.7\mu\text{m}$ emission correction (possible)
- **Training of neural networks (change statistical representativity)**
 - cloud parameter retrieval of inhomogeneous clouds
- **Integration of neural network retrieval code for the neural network retrieval of cloud parameters for plane-parallel clouds and inhomogeneous clouds**