



# **Algorithm development for aerosol retrieval and its validation based on combined use of polarization and radiance measurements**

I. Sano, and CI team

CIs :

S. Mukai, M. Nakata, B. Holben, O. Dubovik,  
A. Kokhanovsky, Y. Shinozuka,  
K. Knobelspiesse, R. Levy, B. Cairns



# Contents

## Aerosol observation by SGLI

Aerosol model

Retrieval algorithms

2ch polarization method (POLDER)

2ch polarization & 1ch total radiance method (POLDER + CAI)

2ch polarization & 2ch total radiance method (POLDER + CAI)

## Application

PM<sub>2.5</sub> retrieval



# Aerosol model for RS

Aerosol characteristics are interpreted as

**microphysical properties :**

shape, number-, volume-, surface area-distribution  
for size ....,

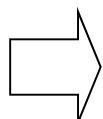
**optical properties:**

cross sect. of scat., abs., phase fn, ref. idx., SSA, ...

**chemical properties :**

aerosol species, mixing state, .... .

Estimation of every parameters from space/ground is difficult.



Some assumptions are needed.

e.g., **sphere & spheroid ----- as ptl SHAPE**

**bi-modal lognormal distribution - as SIZE**

**several refractive indices ----- as chem comp.**



# Size distribution

## Bi-modal log-normal volume distribution

$$\frac{dV}{d \ln r} = (1 - F_{coarse}) \exp\left[-\frac{(\ln r - \ln r_{fine})^2}{2\sigma_{fine}^2}\right] + F_{coarse} \exp\left[-\frac{(\ln r - \ln r_{coarse})^2}{2\sigma_{coarse}^2}\right],$$

Fine mode aerosols :

$$r_{fine} = 0.135 \text{ } \mu\text{m}, \sigma_{fine} = 0.43$$

Coarse mode

$$r_{coarse} = 2.365 \text{ } \mu\text{m}, \sigma_{coarse} = 0.63$$

(Dubovik et al., JAS, 2002)

**Adjustment parameter ( $F_{coarse}$ )**



# Individual dust particle analysis by SEM – EDX

Target : Size (major & minor axis),  
Elements

Samples : AERONET Osaka site

DRAGON-Asia 2012

PM<sub>10</sub> inlet

April 24: polluted & dust

April 28: dust

~1280 dust samples

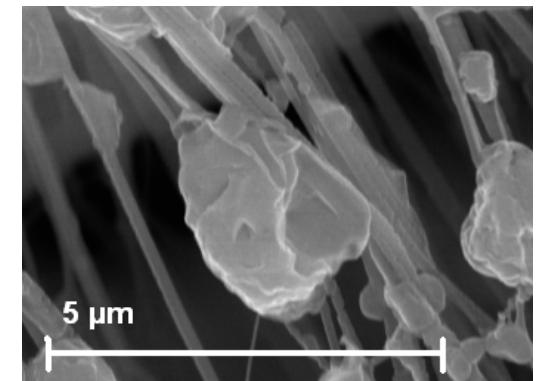


Results :

Size dist : next page

Element : Si, Al

Aspect ratio: 1~2.5 (non spherical parameter )





## Optical properties : complex refractive index

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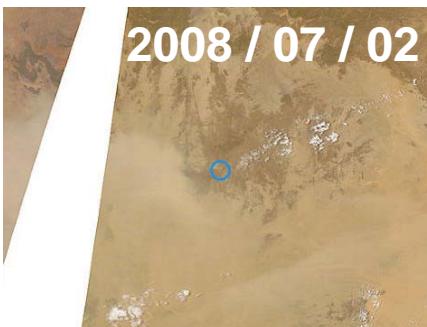
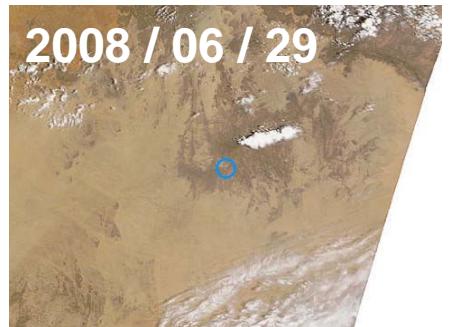
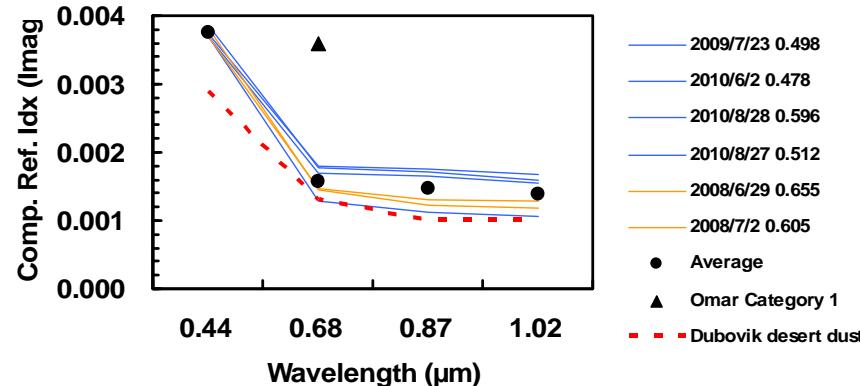
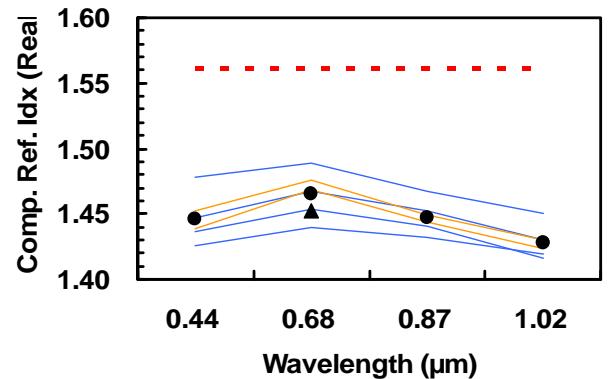
For complex refractive index,

- \* each value should be set for each aerosol type  
(e.g., sulfate, carbonaceous, oceanic, and dust),  
based on **ground RS (AERONET) database**,  
or **theoretical rules**.

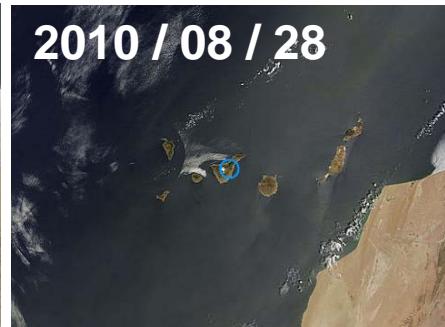
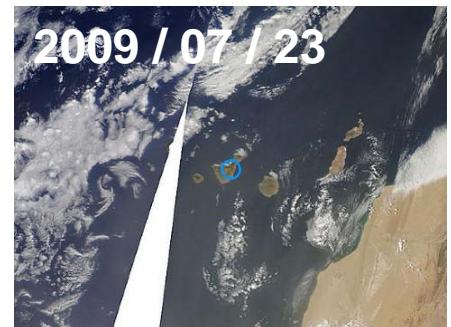


# Optical properties of aerosols : Saharan dust

over central (Tamanransset) and west coast (La\_Laguna) of Africa

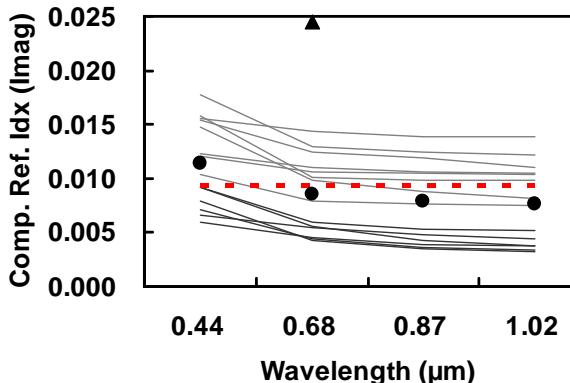
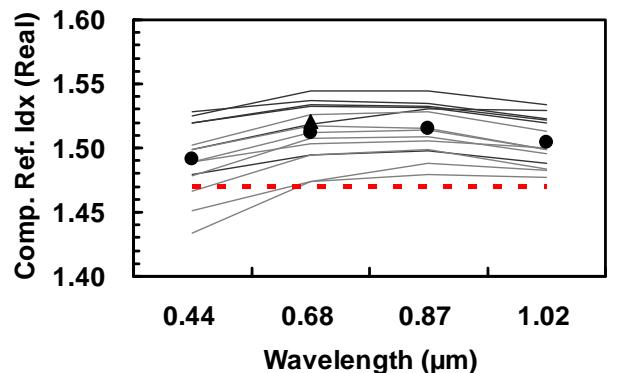


0.44um	1.446-0.0037i
0.67um	1.465-0.0016i
0.87um	1.448-0.0015i
1.02um	1.428-0.0014i



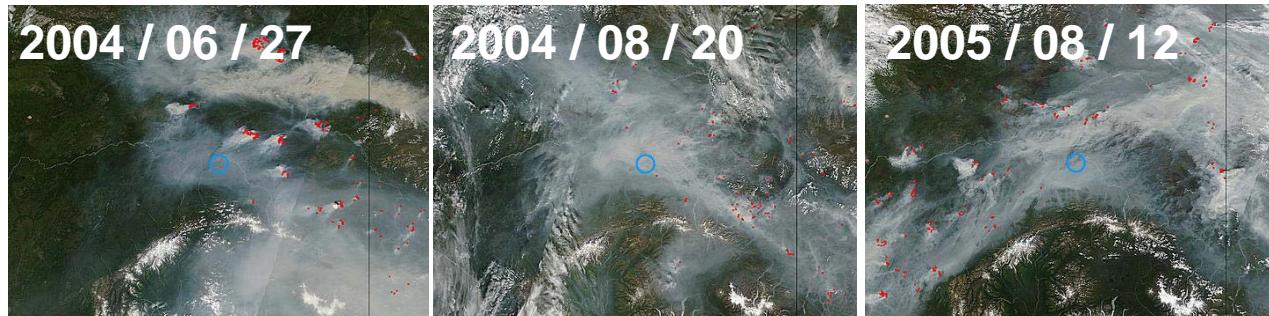


# Optical properties of aerosols : Biomass burning aerosols over Alaska (Bonanza), Amazon (JI\_Parana\_SE)



- 2004/6/27 1.193
  - 2004/8/17 2.393
  - 2004/8/20 2.436
  - 2005/8/12 1.533
  - 2005/8/16 2.255
  - 2005/8/19 0.633
  - 2006/8/24 0.648
  - 2007/9/12 2.302
  - 2010/8/14 1.136
  - 2010/8/17 0.549
  - 2010/8/20 1.080
  - 2010/8/22 1.583
  - 2010/9/7 1.135
  - 2010/9/8 0.879
- Average  
▲ Omar Category 2  
- - - Dubovik Biomass

$\tau_{500} > 0.4$



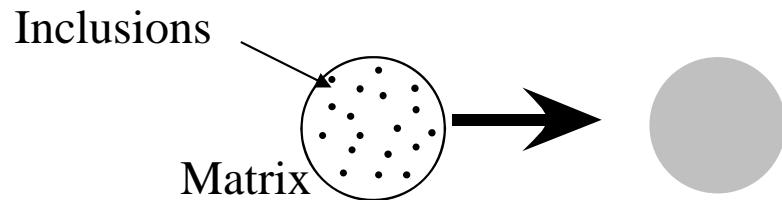
0.44um	1.491-0.0114i
0.67um	1.512-0.0085i
0.87um	1.515-0.0079i
1.02um	1.505-0.0077i





## Optical properties : internal mixture type (e.g., biomass burning aerosols)

Biomass burning aerosols should be expressed by heterogeneous particles as mixing of two kinds of species, interpreted by Maxwell-Garnett mixing rule.



$f [\%]$  : Volume fraction of inclusions against matrix

ex)      matrix :  $m=1.46 - 0.0002i$

              inclusions:  $m=1.61 - 0.022i$



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2ch polarization & 2ch total radiance method (POLDER + CAI)

## Application

PM<sub>2.5</sub> retrieval



# Standard aerosol products by SGLI

**ARV** : Aerosol products **over ocean** derived from **VNIR** measurements  
AOT, Ang. Exp., Aerosol classification

**ARU** : Aerosol products **over land** by **Near UV** measurements  
AOT, Absorbing information

**ARP** : Aerosol products **over land** by **Polarization** measurements  
AOT, and Ang. Exp.

POLDER

2ch (red & NIR) polarization over land : AOT, and Ang. Exp.



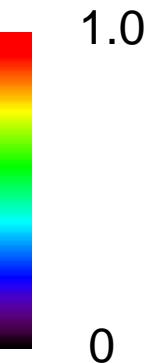
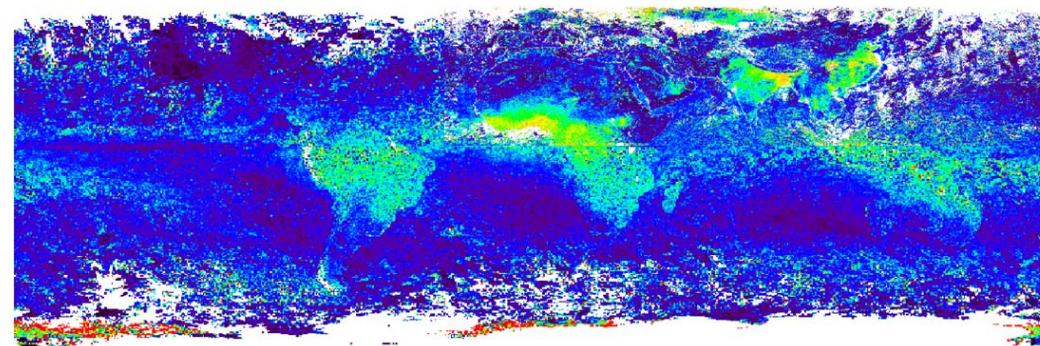


# 2ch polarization algorithm for SGLI

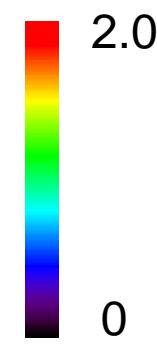
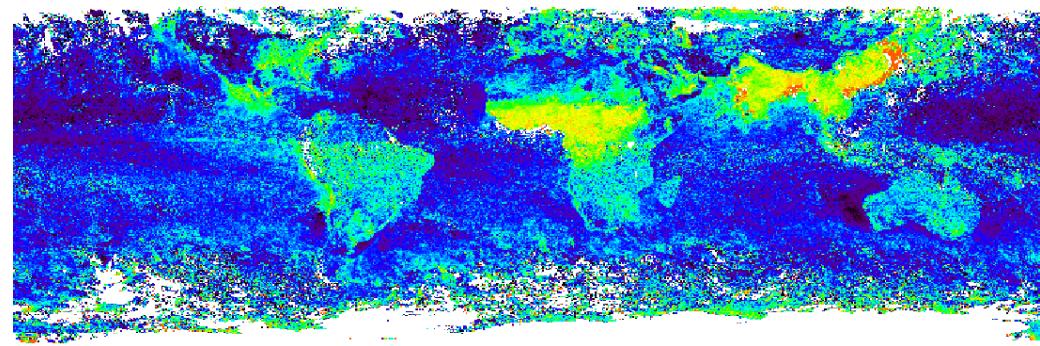
Courtesy of Dr. Hashiguchi (JAXA/EORC)

1 directional POLDER measurement  
for SGLI simulation data

AOT @ 550 nm in Jan. 2009



Angstrom exponent in Jan. 2009





# Comparison with AERONET

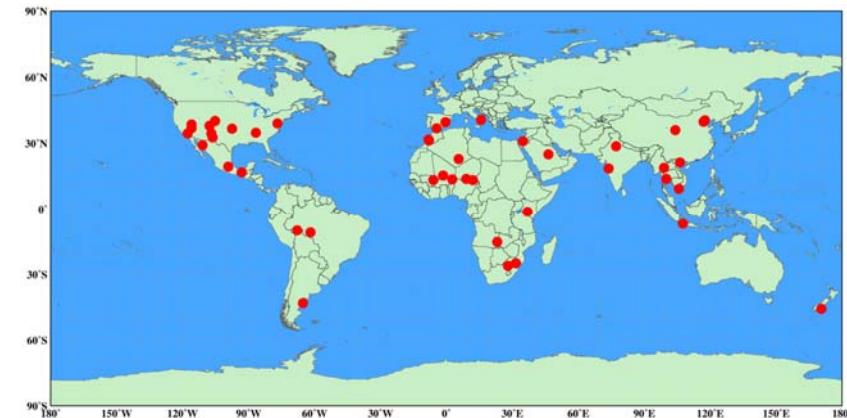
Courtesy of Dr. Hashiguchi (JAXA/EORC)

Dataset : AERONET L 2 (cloud-screened and quality-assured )

1. The measurements are selected within the  $\pm 30$  min satellite overpass.
2. The AOT of 0.443 and 0.870  $\mu\text{m}$  as ground based measurements are selected for calculating Angstrom Exponent.
3. The AOT of 0.550  $\mu\text{m}$  is estimated based on the Angstrom Exponent and the measurement of 0.670  $\mu\text{m}$ .



AERONET sites in 2012

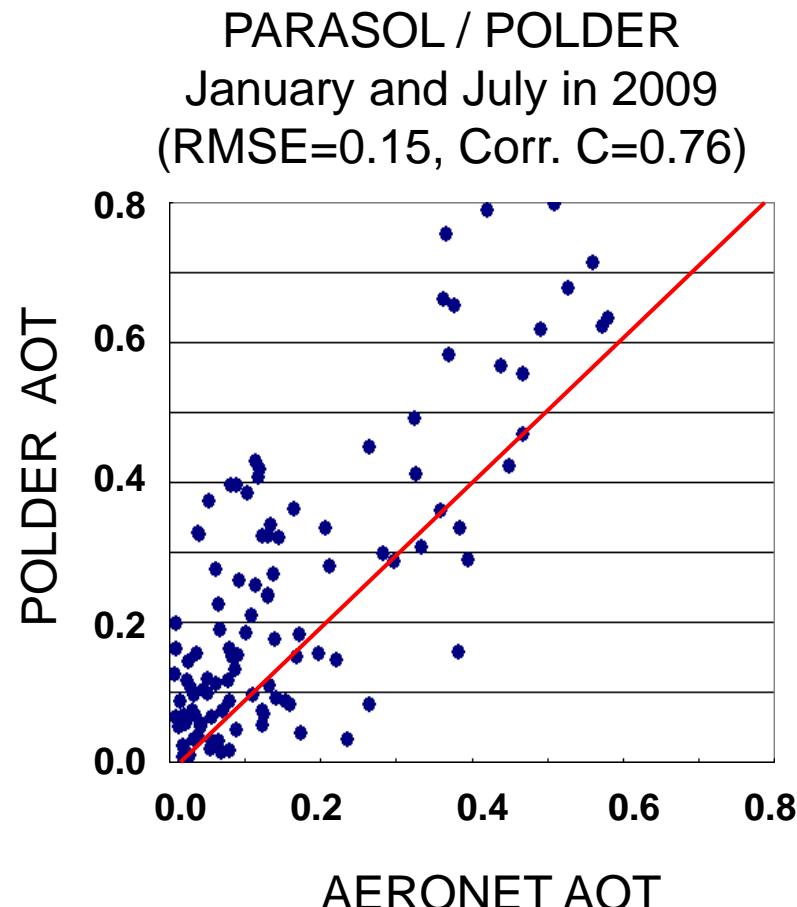
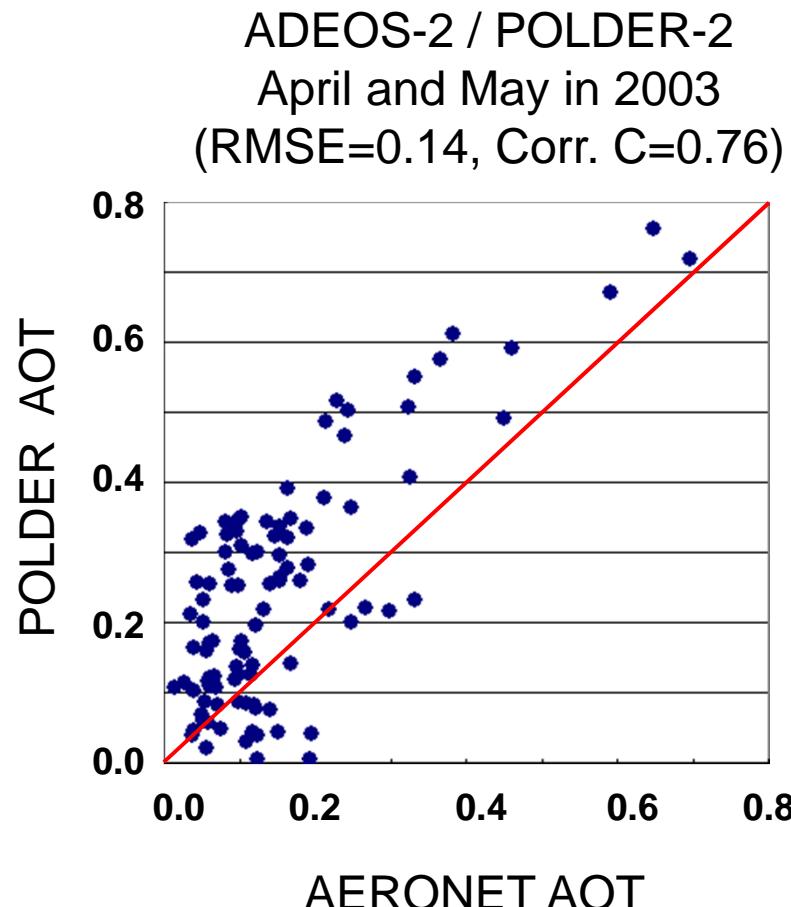


Match up AERONET sites



# Comparison of retrieved AOT ( $0.55 \mu\text{m}$ )

Courtesy of Dr. Hashiguchi (JAXA/EORC)





# Retrieval algorithms for aerosol parameters ( $\tau_a$ , $\alpha$ , $\omega_0$ , etc....)

## POLDER

2ch (red & NIR) reflectance over ocean : AOT, and frac. of f/c mode  
2ch (red & NIR) polarization over land : AOT, and frac. of f/c mode

## CAI + PARASOL

1ch (NUV) nadir reflectance + 2ch (red & NIR) polarization  
over land : AOT, frac. of f/c mode, & SSA

## CAI + PARASOL

2ch (NUV, red ) nadir reflectance + 2ch (red & NIR) polarization  
over land : AOT, frac. of f/c mode, & complex ref idx

## SGLI (future algorithm)

multi-channel, -temporal, -spatial radiance and polarization  
over land : AOT, fraction of f/c mode, & averaged complex ref idx.

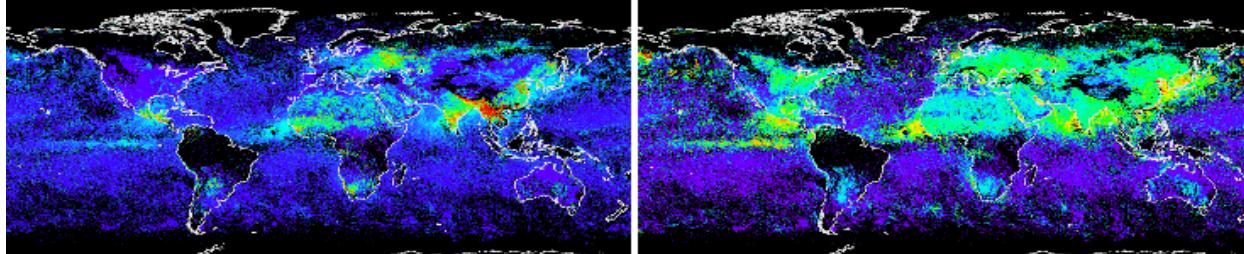


# Retrieved results

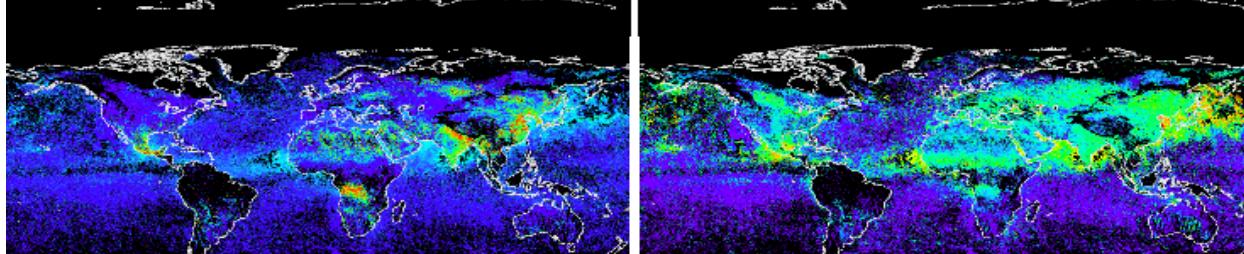
## AOT, fine and coarse mode fraction ->AE

April - June, 2009

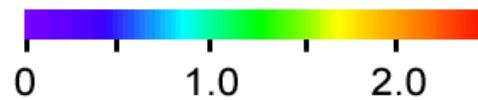
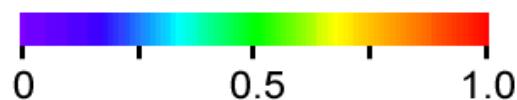
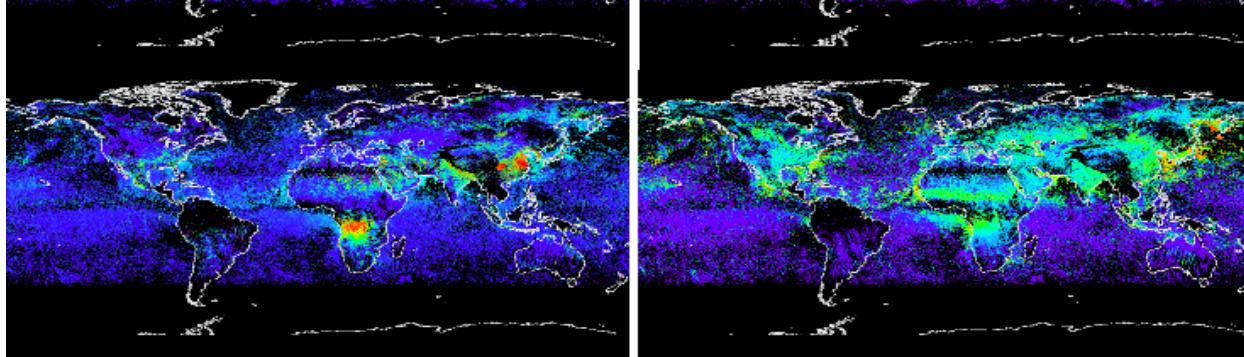
April



May



June





# Retrieval algorithms

## POLDER

- 2ch (red & NIR) reflectance over ocean : AOT, and frac. of f/c mode
- 2ch (red & NIR) polarization over land : AOT, and frac. of f/c mode

## CAI + PARASOL

- 1ch (NUV) nadir reflectance + 2ch (red & NIR) polarization over land : AOT, frac. of f/c mode, & SSA

## CAI + PARASOL

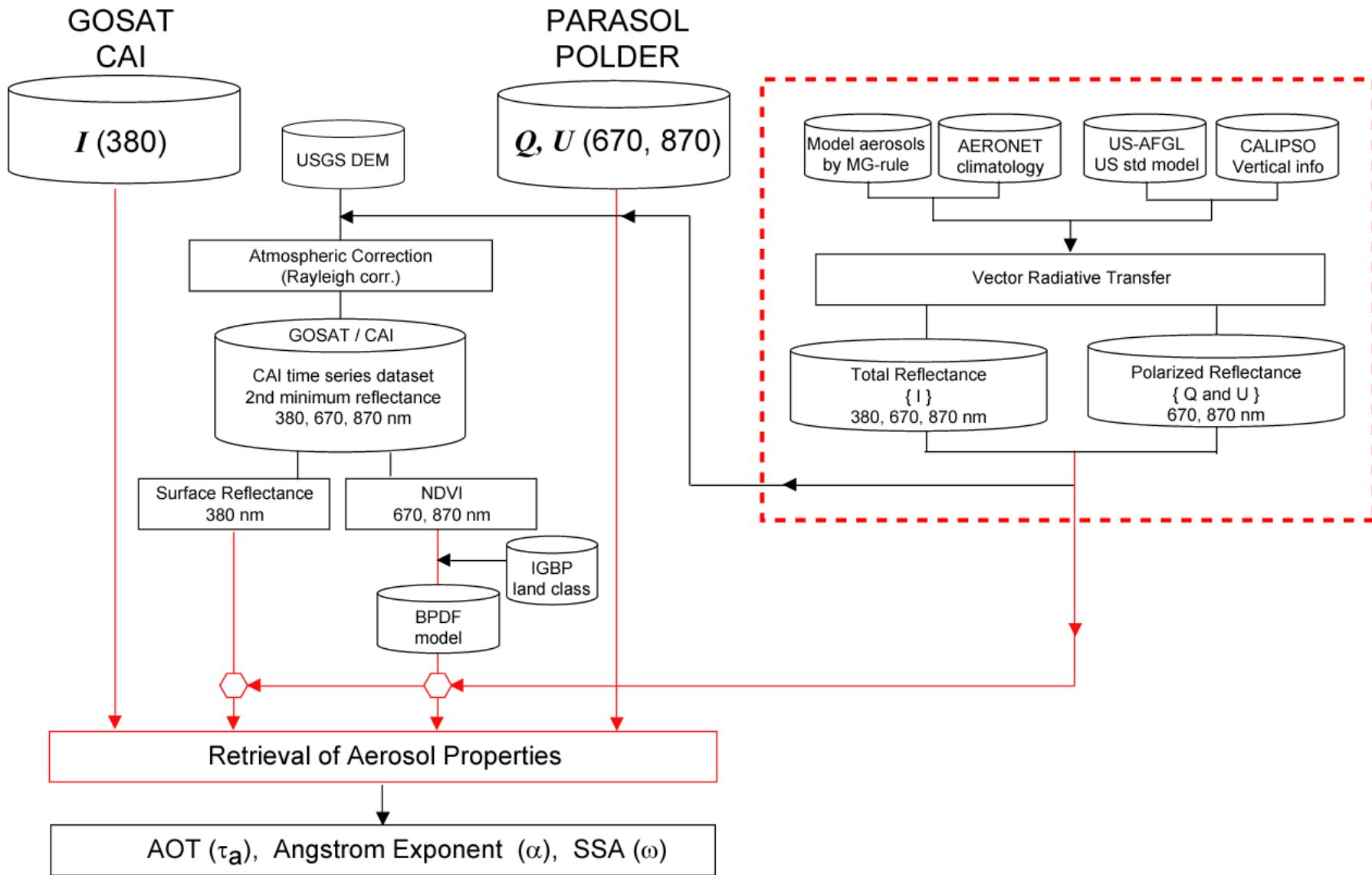
- 2ch (NUV, red ) nadir reflectance + 2ch (red & NIR) polarization over land : AOT, frac. of f/c mode, & complex ref idx

## SGLI (future algorithm)

- multi-channel, -temporal, -spatial radiance and polarization over land : AOT, fraction of f/c mode, & averaged complex ref idx.



# Retrieval flow for biomass burning aerosols





# Aerosol properties over Central Russia on August 5 and 8th, 2010

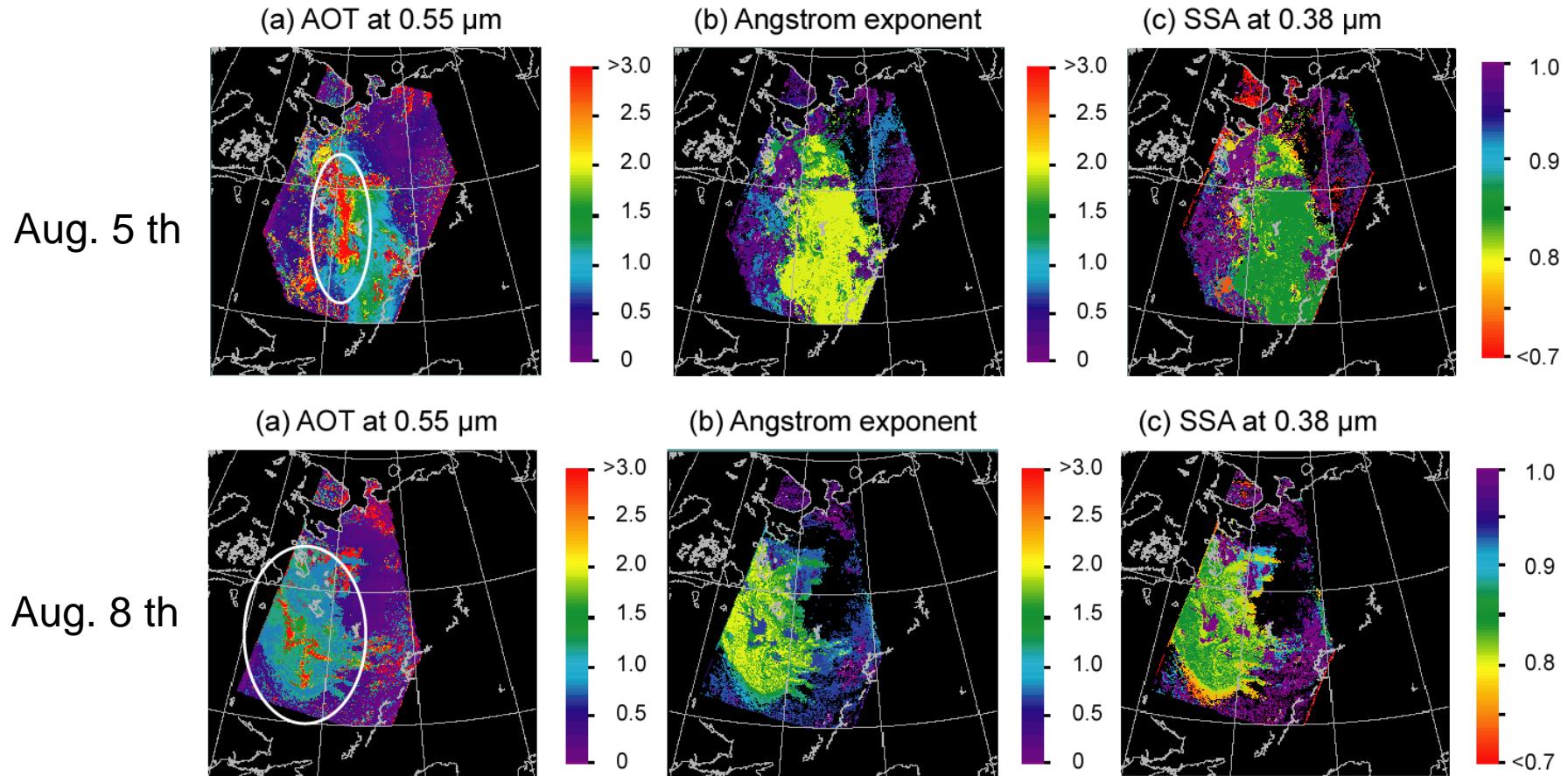


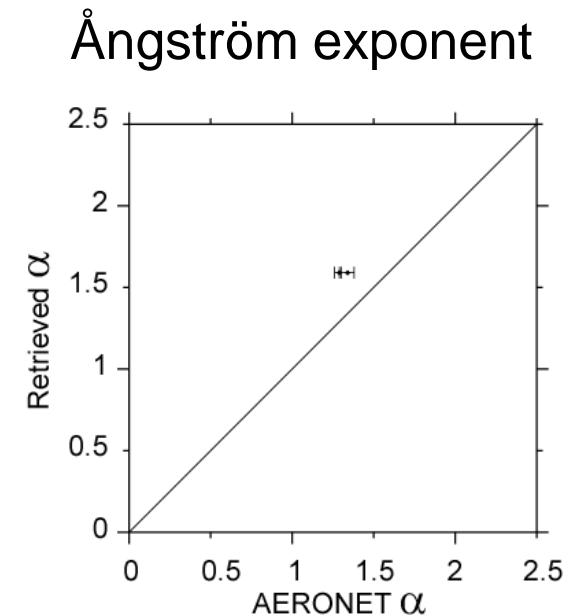
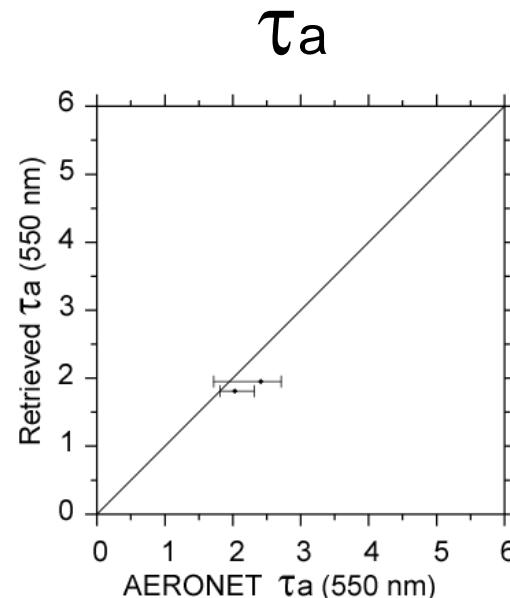
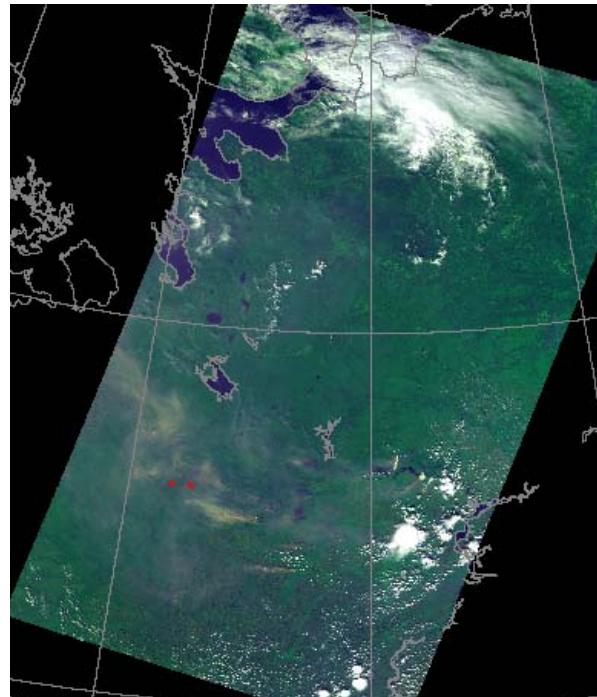
Figure Retrieved results of aerosol properties over Central Russia on August 5th (upper) and 8th (bottom), 2010.  
(a) AOT at 0.55  $\mu\text{m}$ , (b) Angstrom exponent and (c) single scattering albedo at 0.38  $\mu\text{m}$ .



# Comparison of retrieved results

The AERONET AOT and Ångström data are selected during the  $\pm 30$  min against the satellite overpass.

Error bars : Min and max values of the measurements.



Scattergram of retrieved values  $\tau_a, \alpha$  against AERONET data.



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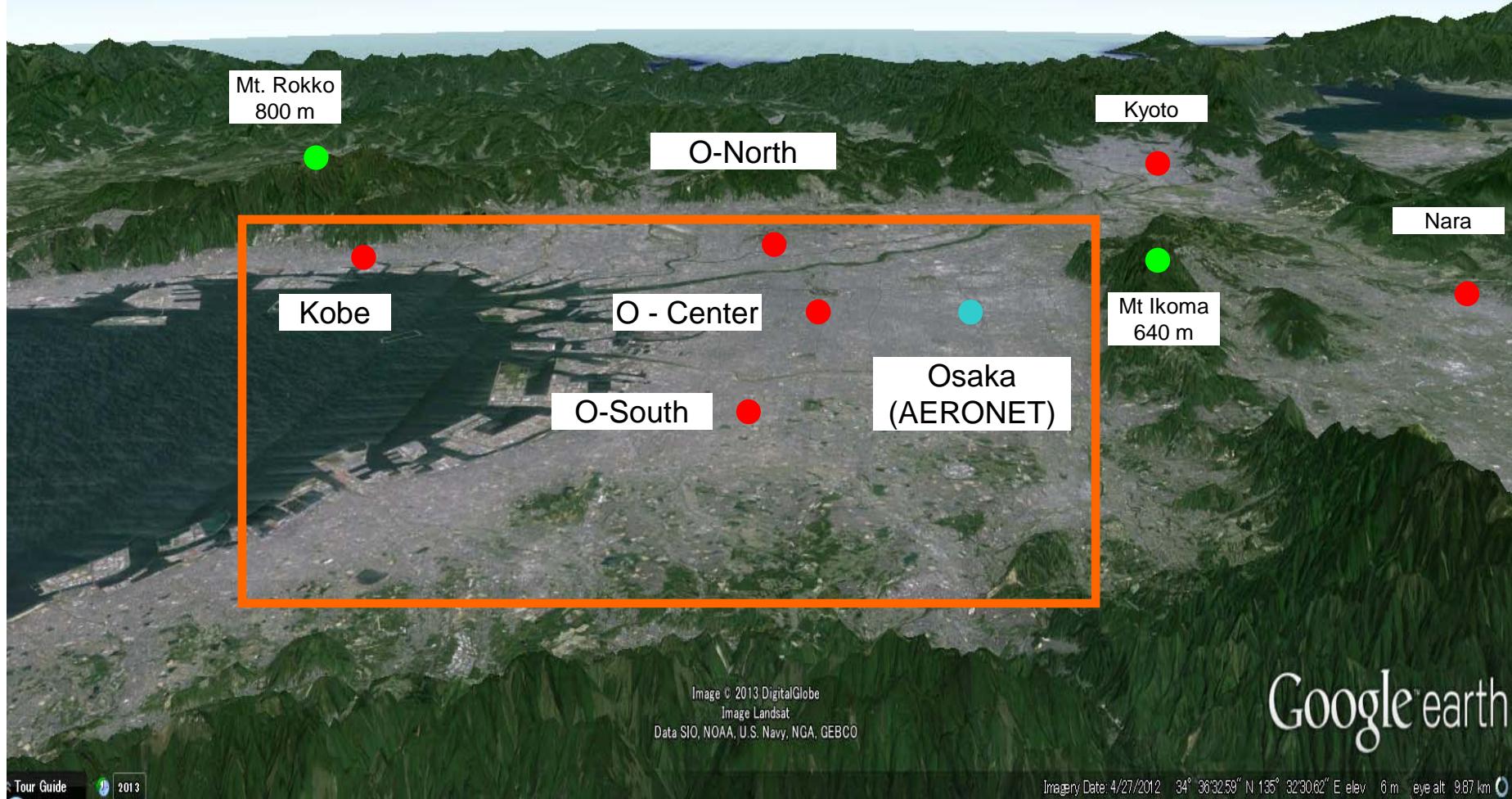
## Application

PM<sub>2.5</sub> retrieval



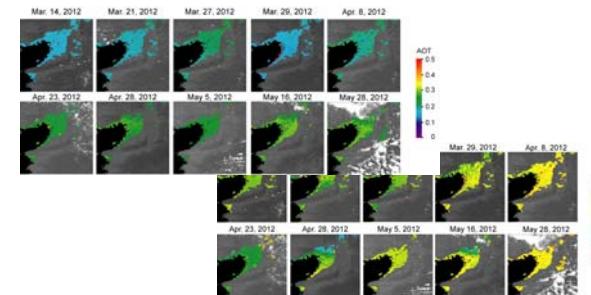
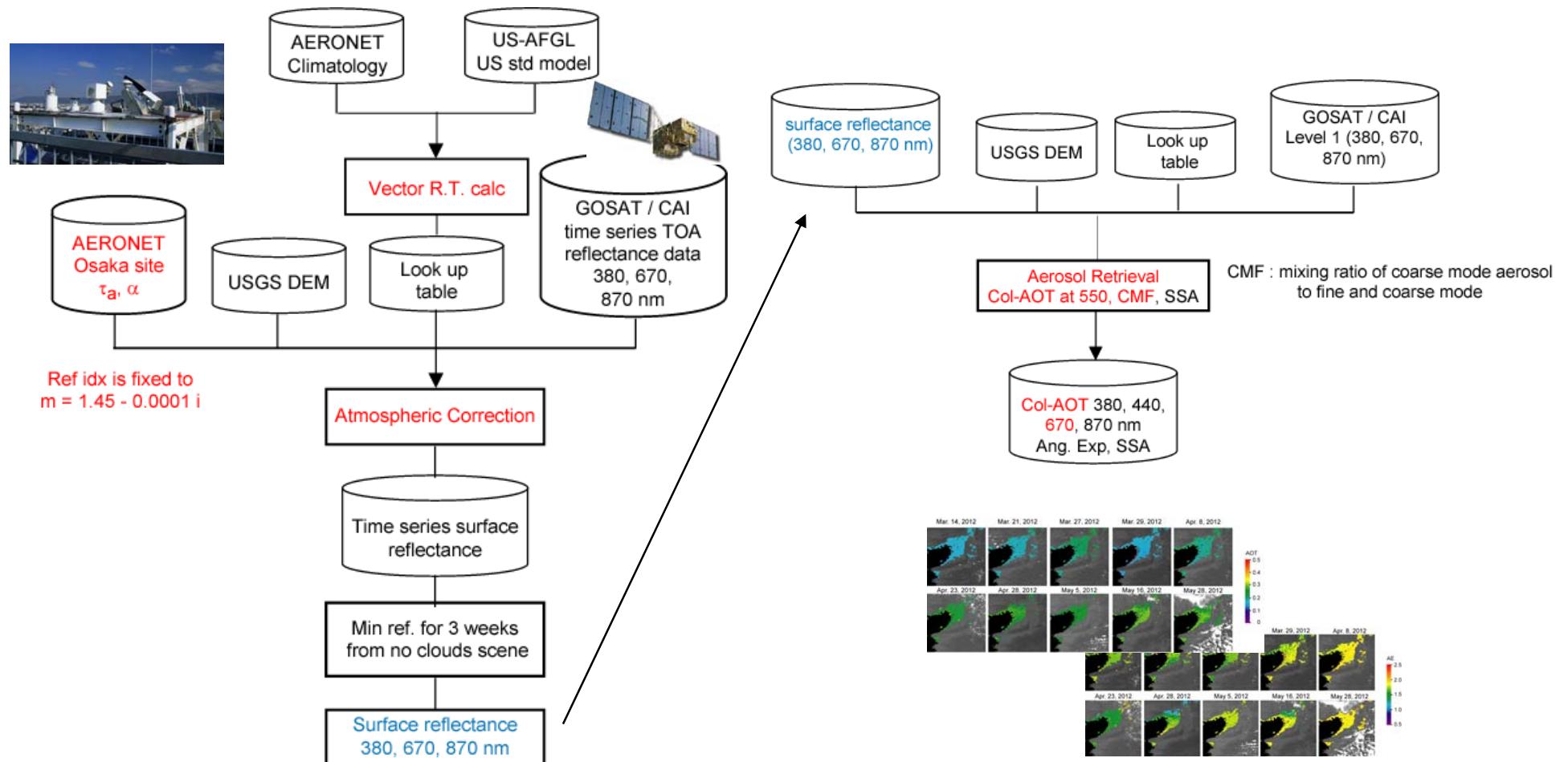
Target city : Osaka  
Target period : March, April, and May (2012)

Sun photometer map during DRAGON - Osaka





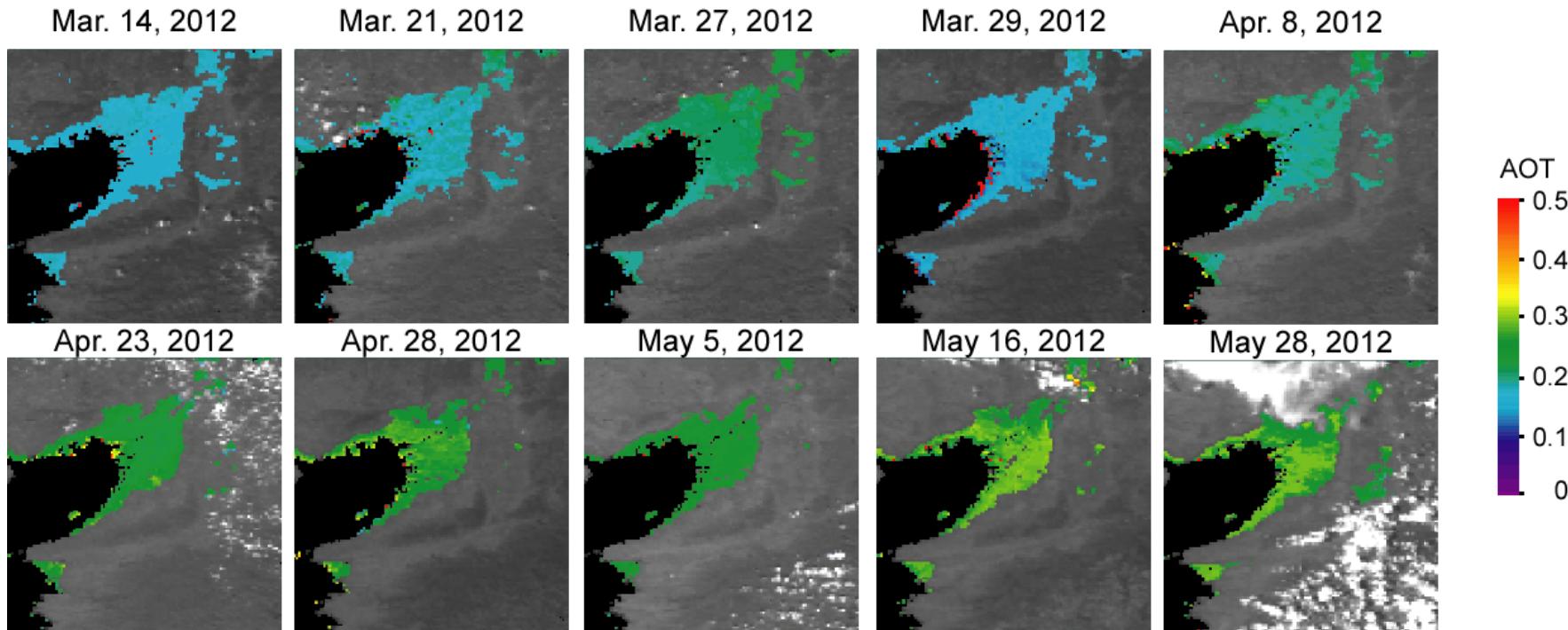
# Estimation of surface reflectance and aerosol retrieval





# Aerosol properties over Osaka, Japan during DRAGON - Osaka

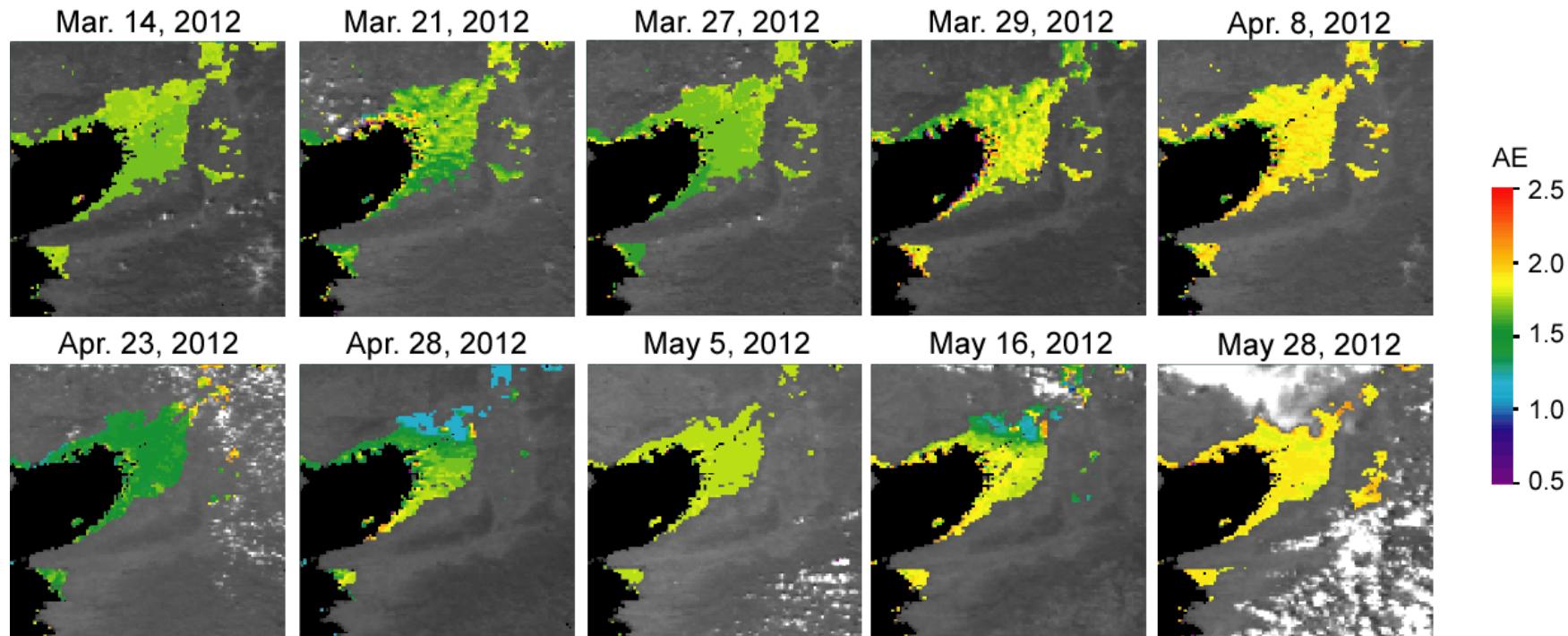
AOT (670 nm)





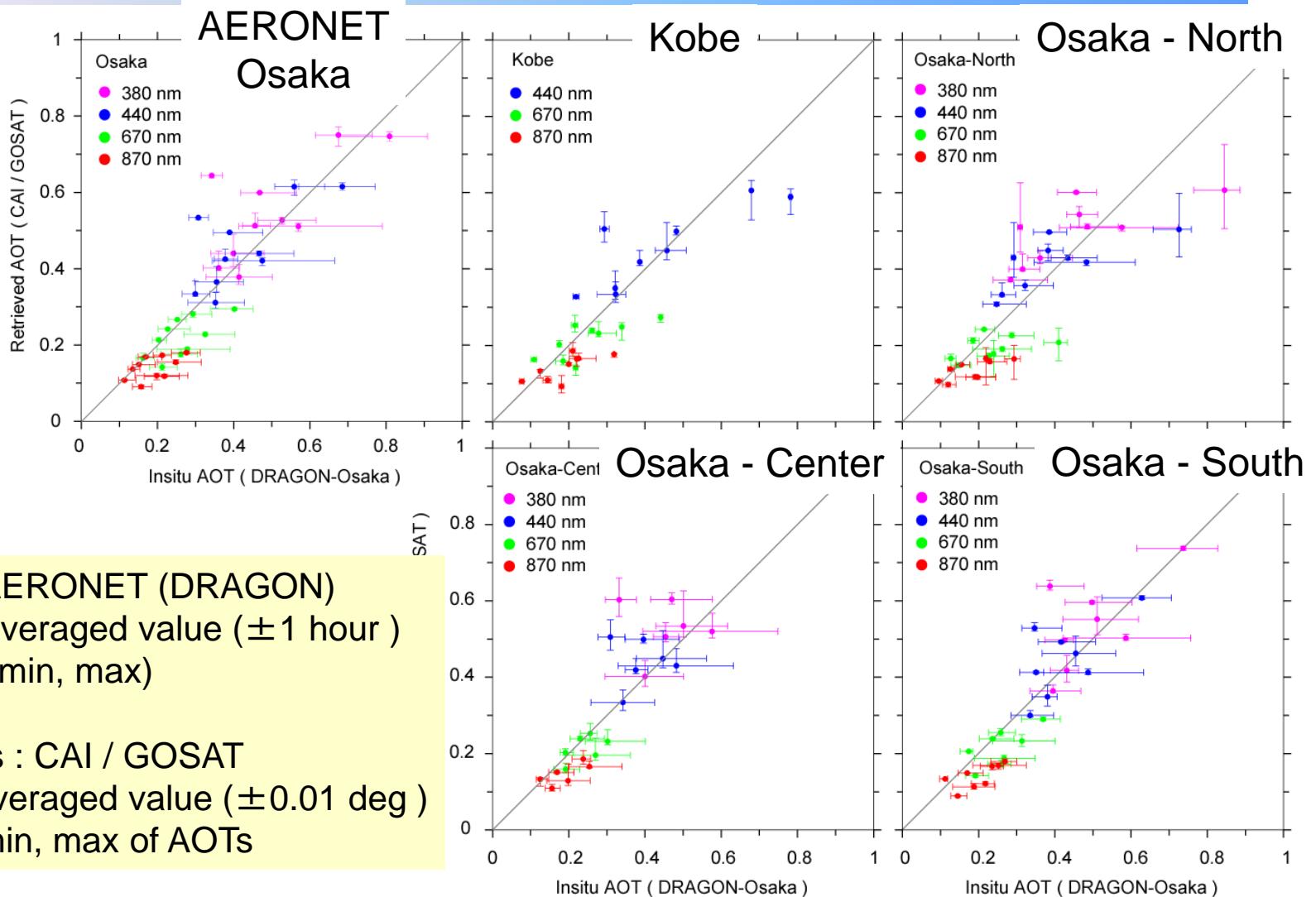
# Aerosol properties over Osaka, Japan during DRAGON - Osaka

## Angstrom exponent



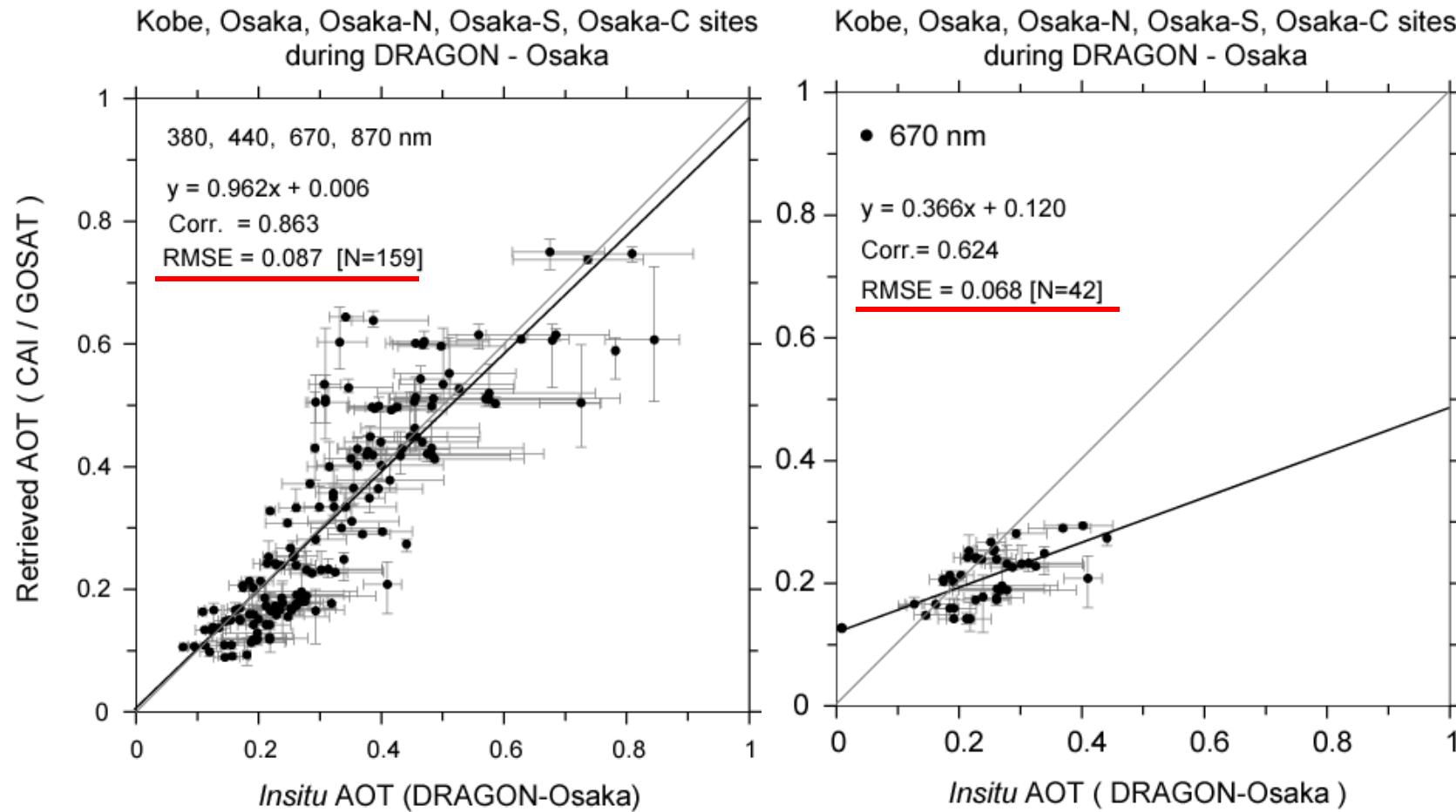


# Comparison of retrieved AOTs with DRAGON-Osaka



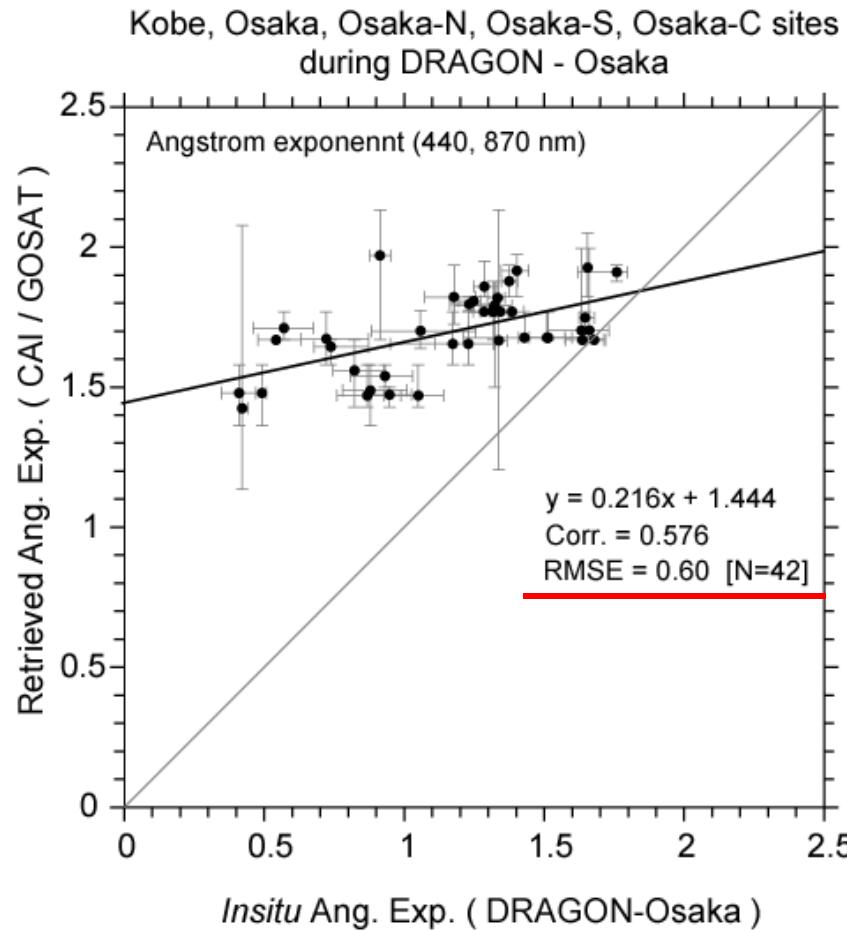


# Comparison of retrieved AOTs with DRAGON-Osaka





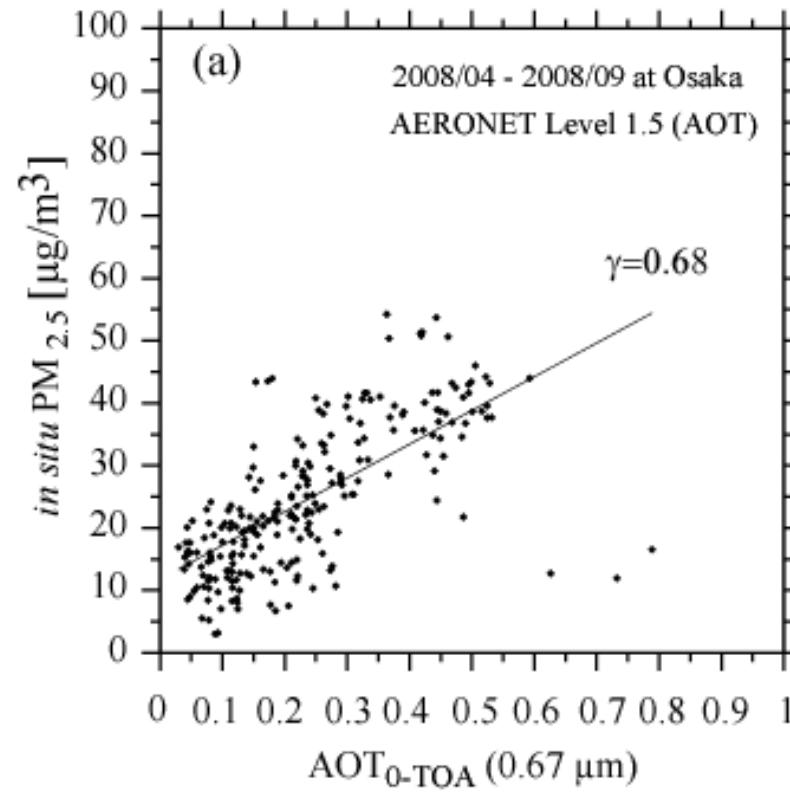
# Comparison of retrieved AE with DRAGON-Osaka





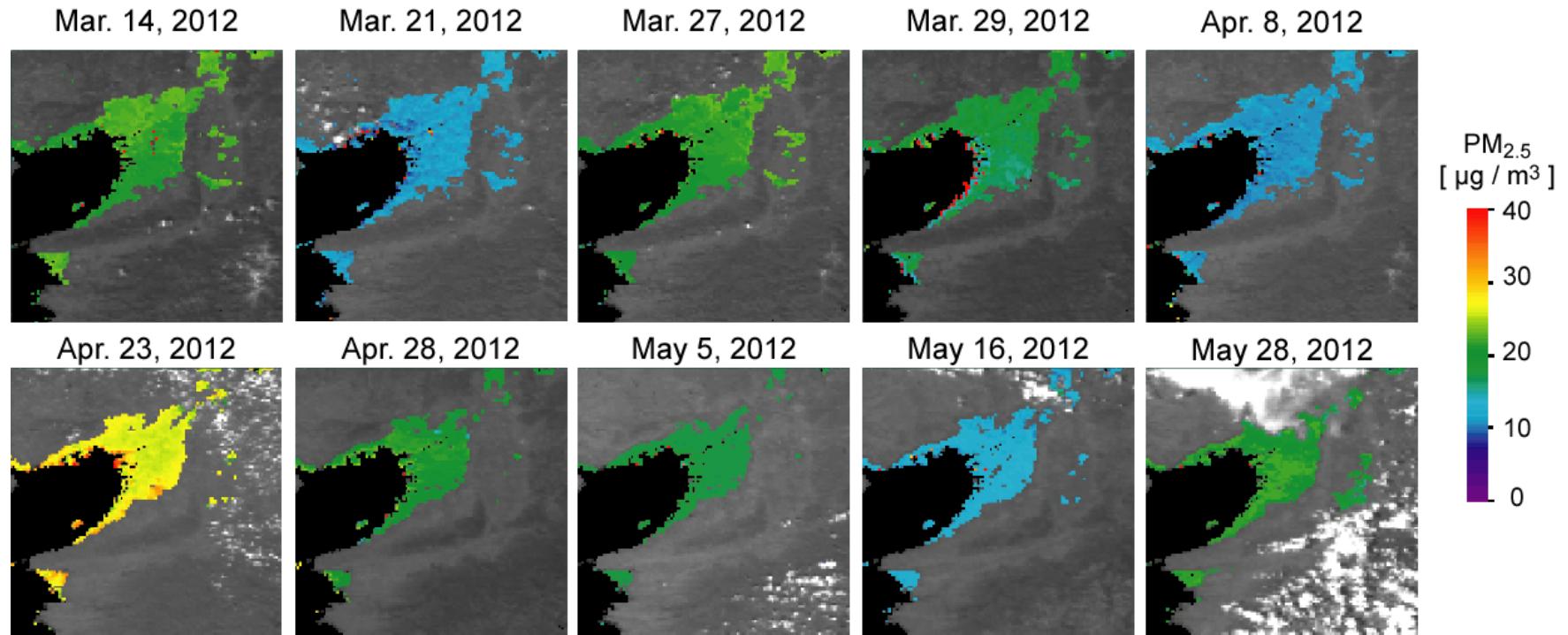
# Relationship between PM<sub>2.5</sub> and columnar AOT (670 nm)

## Columnar AOT

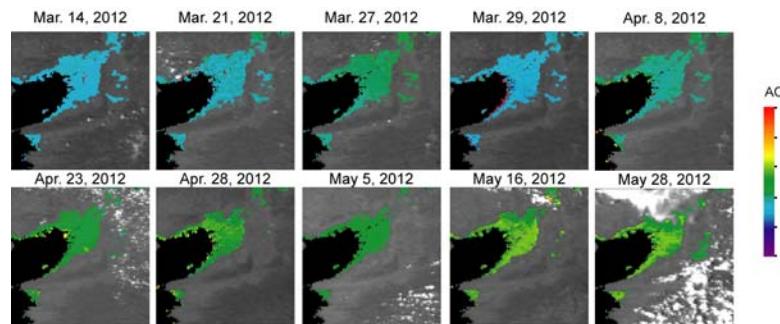




# Satellite estimated PM<sub>2.5</sub> concentration during DRAGON - Osaka



AOT 670 nm





## Comparison with *in situ* PM<sub>2.5</sub> data

### PM<sub>2.5</sub> Instrument

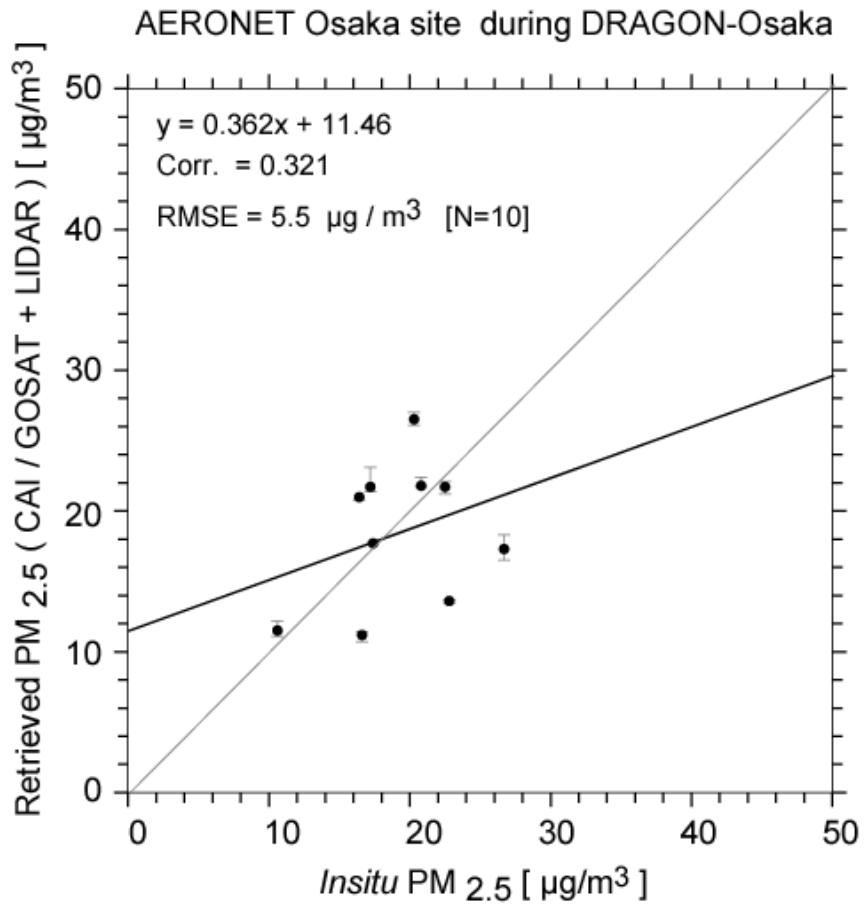
SPM-712 (Kimoto Elec. Co., Japan)

1 hour meas.

Beta ray gauge method

Teflon tape role

RH correction





# Summary

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## Aerosol model

Comparison of AERONET results with dust particle analysis by shows good agreement.

## Aerosol retrieval

New look up table (bi-modal size dist) provides AOT, CMF (coarse mode fraction) of bi-modal size dist.

Ver-0 code is also updated available for new LUT.

The 3ch-method is demonstrated with POLDER and CAI measurements to estimate AOT, CMF, SSA.

## Application ( $PM_{2.5}$ )

$PM_{2.5}$  distribution is estimated from AOT 670 combined with LIDAR data over Osaka. The RMSE is  $\sim 5.5 \mu\text{g}/\text{m}^3$ .